# LIQUOR MANUFACTURER HANDBOOK

aglc.ca



AGLC LIQUOR MANUFACTURER HANDBOOK

# Liquor Manufacturer Handbook

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# SUBJECT: INTRODUCTION

# POLICIES

- 1.1.1 Alberta Gaming, Liquor and Cannabis (AGLC) is established under the *Gaming, Liquor and Cannabis Act* (GLCA) and is governed by the requirements of the Criminal Code, the GLCA and the Gaming, Liquor and Cannabis Regulation (GLCR).
- 1.1.2 AGLC is the province's liquor authority, responsible for administering and regulating the liquor industry in Alberta.
- 1.1.3 (Deleted May 2021)
- 1.1.4 Board policies are approved by the Board of AGLC and signed by its Chair, on behalf of the Board.
- 1.1.5 Board policies related to liquor licence activities are conditions of the licence. This includes policies approved after the liquor licence is issued [Section 61(1) of the GLCA].
- 1.1.6 Guidelines are best business practices designed to help manufacturers meet operating requirements.
- 1.1.7 (Deleted Nov 2022)
- 1.1.8 (Deleted Dec 2020)
- 1.1.9 An activity not specifically permitted under these policies is prohibited.
- 1.1.10 Where a manufacturer's contact person does not have a working knowledge of the English language sufficient to understand the legislation and/or these policies, AGLC may request the manufacturer to have an interpreter present whenever: (Amended Nov 2022)
  - a) discussing details of an application;
  - b) discussing the manufacturer's operation with AGLC; and
  - c) the manufacturer's contact person attends a Board meeting.

# GUIDELINES

1.1.11 The purpose of this handbook is to provide information that will help manufacturers and their employees meet AGLC requirements for operating in accordance with the legislation and Board policies. The handbook does not replace the GLCA or the GLCR.

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Nover	nber 29, 2022	AUTHORITY:	Len Rhodes



NUMBER: 1.1

MANUFACTURER HANDBOOK

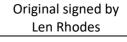
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# SUBJECT: INTRODUCTION

1.1.12 The GLCA and GLCR may be accessed on the King's' Printer web site at Alberta King's Printer Alberta.Ca. (Amended Nov 2022)

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# SUBJECT: DEFINITIONS

MANUFACTURER HANDBOOK

# POLICIES

- 1.2.1 The following definitions apply:
  - a) "AGLC" means Alberta Gaming, Liquor and Cannabis;
  - "AGLC Authorized Warehouse" means warehouses that are approved by AGLC, pursuant to Section 99 of the GLCR, to store and distribute liquor. They include:
    - i) the "Central AGLC Authorized Warehouse" means the warehouse located in St. Albert, permitted to store and distribute spirits, wine, coolers, and beer; and
    - ii) "Other Authorized Warehouses" means approved warehouses other than the Central AGLC Authorized Warehouse permitted to distribute domestic beer products;
       (Added Dec 2019)
  - c) "Board" means the Board of AGLC;
  - d) (Deleted Mar 2020)
  - e) "GLCA" means the Gaming, Liquor and Cannabis Act;
  - f) "GLCR" means the Gaming, Liquor and Cannabis Regulation;
  - g) "Handbook" means the Liquor Manufacturer Handbook;
  - h) "Inspector" means an Inspector of AGLC, a police officer as defined in the *Police Act* or someone designated by AGLC as an Inspector under the GLCA;
  - i) (Moved to Subsection 4.1.13 Oct 2023)
  - j) "licensed premises" means all areas associated with the operations of the licensee, including but not limited to:
    - i) areas liquor may be sold or consumed; and
    - any store room, lobby, kitchen, hallway or other service areas used by the licensee in support of the areas where liquor may be sold or consumed;
  - k) "licensee" means the individual, partnership or corporation holding a Class E liquor licence;



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# SUBJECT: DEFINITIONS

SOBJECT:	
I)	"liquor" means beverages that are intended for human consumption containing over 1% alcohol by volume (e.g., spirits, wine, liqueur, coolers, cider or beer);
m)	"liquor agency" (agency) means a corporation or individual who is in the business of representing a liquor supplier in the sale of the supplier's liquor;
n)	"liquor supplier" (supplier) means:
	i) a manufacturer;
	<li>ii) a person who operates an establishment for making liquor outside Alberta;</li>
	iii) a person, other than AGLC, who is a distributor of liquor; or
	<ul> <li>iv) any person who has a connection, as specified in the regulations, to a manufacturer or a person described in subclause ii) or iii) above; and</li> </ul>
o)	"licensed facility" means the facility that an AGLC licensed Class E Manufacturer or Small Manufacturer operates and has legal control of, for the purpose of manufacturing liquor;
р)	"manufacturer" means an AGLC licensed Class E liquor Manufacturer;
q)	"NQAC" means the National Quality Assurance Committee. (Added Mar 2020)
r)	(Moved to Subsection 4.1.13 Oct 2023)
s)	"sampling" refers to liquor products being provided to licensees free of charge for the purpose of sampling an existing or new brand of product; and
t)	"staff" means any person employed by the licensee or contracted by the licensee or third party to perform assigned or delegated duties.

DATE ISSUED:

October 4, 2023

AUTHORITY:



NUMBER:

1.3

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# MANUFACTURER HANDBOOK

# SUBJECT: CONTACTING AGLC

# **GUIDELINES**

1.3.1 There are five (5) AGLC offices in the province. AGLC hours are 8:15 a.m. to 4:00 p.m. Monday to Friday, excluding statutory holidays and between Christmas and New Year's Day. Outside office hours and when staff is unavailable, messages may be left on voice mail.

# St. Albert (Head Office)

50 Corriveau Avenue St. Albert, Alberta T8N 3T5	Phone: Fax: Fax:	780-44 780-44 780-44	7-8911
Calgary			
310, 6715 - 8 Street NE Calgary, Alberta T2E 7H7	Phone: Fax:	403-29 403-29	
Red Deer			
#3, 7965 – 49 Avenue Red Deer, Alberta T4P 2V5	Phone: Fax:	403-31 403-31	
Grande Prairie			
100, 11039 – 78 Avenue Grande Prairie, Alberta T8W 2J7	Phone: Fax:	780-83 780-83	
Lethbridge			
655 WT Hill Blvd South Lethbridge, Alberta T1J 1Y6	Phone: Fax:	403-33 403-33	
AGLC web site address is: agl	<u>c.ca</u>		
AGLC's Liquor Manufacturer Liquor Planning & Reporting, Liquor Services Division			780-447-8600

liquorservices@aglc.ca

## DATE ISSUED:

1.3.2

1.3.3

Email:



NUMBER: 1.4

# MANUFACTURER HANDBOOK

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# SUBJECT: LEGISLATION AND COMPLIANCE

# POLICIES

- 1.4.1 Manufacturers and their staff must comply with:
  - a) the GLCA;
  - b) the GLCR;
  - c) Board policies; and
  - d) all federal, provincial and municipal legislation.
- 1.4.2 Pursuant to Section 100 of the GLCA, licensee records are subject to review and audit by AGLC. Records are to be maintained in a manner acceptable to AGLC, AGLC-appointed auditors and the Canada Revenue Agency, and be produced upon request. (Added May 2021)
- 1.4.3 Non-compliance with the legislation or Board policies may result in disciplinary action by the Board pursuant to Part 4 of the GLCA.
- 1.4.4 The licensee or manager approved by AGLC must notify AGLC immediately if charged with or convicted of an offence under:
  - a) the Criminal Code (Canada);
  - b) the Excise Act (Canada);
  - c) the Food and Drugs Act (Canada);
  - d) the Controlled Drugs and Substances Act (Canada);
  - e) a foreign Act or Regulation substantially similar to an offence under a), b), c) or d);
  - f) the GLCA; or
  - g) the GLCR.
- 1.4.5 If a licensee is at any time charged or convicted of an offence set out in any of the legislation listed in Subsection 1.4.4, the Board may take disciplinary action including, but not limited to, suspension or cancellation of the liquor licence.
- 1.4.6 If a licensee misleads AGLC, fails to provide information or provides inaccurate information, the Board may take disciplinary action including, but not limited to, suspension or cancellation of the liquor licence.

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NUMBER: 1.5

# MANUFACTURER HANDBOOK

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# SUBJECT: PROSERVE LIQUOR STAFF TRAINING

# POLICIES

- 1.5.1 A manufacturer must meet ProServe Liquor Staff Training certification requirements. Equivalency may be granted for certificates issued by other provinces if it was acquired within the last five years. The worker must apply to info@smartprograms.aglc.ca to have their certificate recognized. (Amended Nov 2022)
- 1.5.2 ProServe certification is mandatory for the following full time and part time staff:
  - a) positions where liquor is provided under the authority of a Class
     A, B, D, E, F and Duty Free licence (excluding Class D -Sacramental Wine Resale licence):
    - i) licensed premises owners that are responsible for directly managing a licensed premises;
    - ii) licensed premises managers, supervisors, retailers, bartenders, servers, greeters, hosts and delivery service drivers; (Amended Dec. 2020)
    - iii) security staff (directly employed or contracted by the licensee); and
    - iv) owners and managers of a company contracted to provide security.
  - b) positions where liquor is provided under the authority of a SEL Public Resale - Commercial:
    - i) managers, supervisors, bartenders, servers, and drink ticket sellers;
    - ii) security staff (directly employed or contracted by the licensee); and
    - iii) owners and managers of a company contracted to provide security.
  - c) positions where liquor is provided under the authority of a SEL Public Resale - Community:
    - i) the licensee and designate(s); and
    - ii) a minimum of 25% of all bartenders/servers on-site. (Amended Jul 2019)

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NUMBER: 1.5

# MANUFACTURER HANDBOOK

# PAGE 2 OF 4

# SUBJECT: PROSERVE LIQUOR STAFF TRAINING d) persons registered with AGLC as a liquor agency and their employees, or a third-party agent acting on their behalf, whose duties include the sampling of liquor products. (Amended Oct 2020)

- 1.5.3 ProServe certification is not required for staff working full time or part time:
  - a) as kitchen staff, bus persons, and cleaning staff in licensed premises;
  - b) (Deleted Mar 2019)
  - c) where liquor is provided under the authority of a Private Special Event licence;
  - d) where liquor is provided under the authority of a Class C licence (Note: the Board may require the licensee to meet ProServe training requirements if the Class C licensee has violated the GLCA, GLCR, or Board policies); and
  - e) as a volunteer at a Class B licensed premises. (Added Jul 2021)
- 1.5.4 Persons requiring ProServe must be certified:
  - a) within 30 days of the employment start date for all new hires to positions identified in Subsections 1.5.2a) and 1.5.2d) or;
  - b) before a SEL Public Resale for staff in positions identified in Subsection 1.5.2 b) and c). (Amended Mar 2019)
- 1.5.5 (Deleted Dec 2020)
- 1.5.6 (Deleted Dec 2020)
- 1.5.7 A ProServe certificate must be maintained by successfully repeating the ProServe program, including passing the exam before the certificate expires. (Amended Nov 2022)
- 1.5.8 Licensee staff must provide proof of ProServe certification at the request of an AGLC Inspector. Proof of ProServe certification includes: (Amended Dec. 2020)
  - a) printed certificate that has a QR code; or (Amended Nov 2022)
  - b) (Deleted Dec 2020)
  - C) (Deleted Nov 2022)
  - d) a clear digital image of the above (e.g., screen shot) saved on the staff member's mobile device. (Amended Nov 2022)

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NUMBER: 1.5

# MANUFACTURER HANDBOOK

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SUBJECT:	PROSERVE LIQUOR STAFF TRAINING
1.5.9	It is the responsibility of the licensee to ensure:
	a) (Deleted Dec 2020)
	<ul> <li>b) at least one (1) ProServe certified staff member is on shift at all times.</li> </ul>
1.5.10	An industry worker's ProServe certificate will be placed into conditional status when an employee at a licensed premises is found to violate any of the following:
	a) Section 75 of the GLCA: give, sell, or supply liquor to a minor;
	b) Section 75.1 of the GLCA: sell or provide liquor to an intoxicated person, permit an intoxicated person to consume liquor or take part in a gaming activity or provincial lottery that is conducted in the licensed premises; and
	c) AGLC Board policy: failure to ask for proof of age for those persons who appear to be under 25 years of age.
1.5.11	Industry workers whose ProServe certificate is placed into conditional status may continue working at a licensed premises, however, they are required to successfully retake the ProServe Liquor Staff training program and pay all required fees within 30 days from the date of notification by AGLC. Failure to do so will cancel their ProServe certificate. (Amended Nov 2022)
1.5.12	An Industry worker whose ProServe certificate is placed into conditional status may appeal their violation in writing to the Vice President, Regulatory Services, within 14 days of the date of notification by AGLC. The decision of the Vice President is final. (Amended Nov 2022)
1.5.13	Industry worker whose violation appeal is unsuccessful will have 30 days from the date of the appeal outcome to recertify. (Amended Nov 2022)
1.5.14	If an industry worker incurs three violations within a five-year period they will be ineligible for further ProServe certification.
GUIDELIN	ES
1.5.15	To aid compliance with ProServe Liquor Staff Training certification requirements, it is suggested licensees keep a log of employees that are ProServe certified. The log should include the following information: (Amended Dec 2020)
DATEISSU	Original signed by JED: November 29, 2022 AUTHORITY: Len Rhodes



SUBJECT:

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# a) employee name as it appears on their certificate; b) SMART Training registration number; and c) expiry date. 1.5.16 (Deleted Dec 2020) 1.5.17 The ProServe Liquor Staff Training program is found at smartprograms.aglc.ca. (Amended Nov 2022)

**PROSERVE LIQUOR STAFF TRAINING** 

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1.6

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# SUBJECT: STAFF TRAINING SESSIONS

# **GUIDELINES**

- 1.6.1 AGLC Inspectors are available to provide training sessions to licensee staff to help them gain a better understanding of their responsibilities and authority regarding liquor manufacturing, sales, and service; and the operation of a licensed premises.
- 1.6.2 To arrange a staff training session, contact your nearest AGLC office (see Section 1.3 for AGLC contact information).

			Original signed by
DATE ISSUED:	September 11, 2018	AUTHORITY:	Gael MacLeod



SECTION: **APPLICATIONS** 

NUMBER:

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# SUBJECT: LICENCE FEES & AGENCY REGISTRATION

# POLICIES

- 2.1.1 A one-time, non-refundable fee of \$200 must be submitted with all new liquor licence applications, with the following exceptions:
  - No application fee is required for a new licence following expiry a) of the existing licence.
  - b) A single fee of \$200 is required when an application is made for more than one (1) licence for the same premises at the same time.
- 2.1.2 An annual licence fee is due on the date of licence issue according to the following schedule:

a) Class A	\$200
b) Class D - Manufacturer Off Sales	\$100
c) Class E	\$500

#### 2.1.3 (Deleted Nov 2022)

- 2.1.4 A manufacturer is automatically registered as a liquor agency; and not required to make a separate application to become registered.
- If a manufacturer's liquor licence is terminated, the agency 2.1.5 registration is also terminated.

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NUMBER: 2.2

# MANUFACTURER HANDBOOK

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# SUBJECT: APPLICATION REVIEW

# POLICIES

- 2.2.1 Liquor licence applications are subject to review and approval by AGLC.
- 2.2.2 When reviewing applications, AGLC considers:
  - a) the appropriateness of the proposed premises;
  - b) the applicant's eligibility; and
  - c) the expressed views of the local community.
- 2.2.3 If AGLC does not support a licence application, the applicant may request a Board hearing. (Amended Nov 2022)
- 2.2.4 If a licence expires, liquor manufacturing, sales and service are prohibited until a new licence is issued. (Amended Nov 2022)

# **Reasons for Licence Refusal**

- 2.2.5 Reasons for licence refusal are listed under Sections 8 and 10 13 of the GLCR. (Amended Nov 2022)
- 2.2.6 (Deleted Nov 2022)
- 2.2.7 (Deleted Nov 2022)
- 2.2.8 (Deleted Nov 2022)
- 2.2.9 AGLC may also refuse to issue a liquor licence:
  - a) if the applicant is not eligible to receive the licence; and
  - b) if the requirements of the GLCA, GLCR and Board policies have not been met.
- 2.2.10 An application for a Class D Manufacturer's Off Sales licence will not be approved:
  - a) unless the primary purpose of the Manufacturer Off Sales store is the sale of liquor to the general public and to licensees; and
  - b) if the primary purpose of obtaining the Manufacturer's Off Sales licence is to establish a warehousing and distribution system for liquor licensees.

## DATE ISSUED:



SECTION: APPLICATIONS

NUMBER: 2.2

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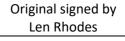
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# SUBJECT: APPLICATION REVIEW

# GUIDELINES

- 2.2.11 First-time applicants should contact AGLC (see Section 1.3 for AGLC contact information).
- 2.2.12 A licensee should first consult this handbook and then contact AGLC when:
  - a) seeking a new licence or a new class of licence;
  - b) seeking an endorsement to an existing licence (e.g., a patio or banquet room endorsement);
  - c) planning to renovate their premises; or
  - d) planning to relocate.
- 2.2.13 Licensees are sent a reminder notice before their existing licence expires.

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SECTION: **APPLICATIONS** 

NUMBER: 2.3

# MANUFACTURER HANDBOOK

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# SUBJECT: OBJECTION TO AN APPLICATION

# POLICIES

# Class A, D or E Premises (Amended Dec 2020)

- AGLC will post all applications for the following types of licences on 2.3.1 its website (aglc.ca/licences):
  - Class A, D and E liquor licence(s) for new premises; (Amended Oct a) 2018)
  - existing licensed premises applying for additional Class A, D or b) E licence(s); (Amended Oct 2018)
  - changes to the Class of liquor licence; and c)
  - d) relocations of existing licensed premises.
  - (Amended Dec 2020)
- 2.3.2 The following information will be posted on AGLC website:
  - a) name of the applicant;
  - name of the proposed premises; b)
  - municipal address of the proposed premises; c)
  - d) licence(s) applied for; and
  - the date that a written objection must be received by AGLC. e)
- 2.3.3 AGLC may not consider objections of the following nature as they are considered the responsibility of the municipality:
  - a) number of licensees in a community;
  - b) location;
  - c) space between licensed premises;
  - matters involving retail competition, community image and d) property values; and
  - objections of a social/moral concern regarding the sale of e) liquor, generally.

(Added Aug 2019)

2.3.4 For all new premises, objections must be submitted in writing and received by AGLC within 7 calendar days from the date the application is posted on AGLC website in order for the objection to be considered during the current licensing process (See Subsection 2.3.16). (Amended Apr and Dec 2020)

Original signed by November 29, 2022 Len Rhodes DATE ISSUED: AUTHORITY:



NUMBER: 2.3

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# MANUFACTURER HANDBOOK

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SUBJECT	: OBJECTION TO AN APPLICATION		
2.3.5	For existing licensed premises, only written objections received by AGLC at least 90 days prior to the expiration of the current licence will be provided to the Board for consideration.		
2.3.6	(Deleted Nov 2022)		
2.3.7	The applicant will be notified in writing of all objections received, other than those described in Section 2.3.3. (Amended Aug 2019)		
2.3.8	The applicant will be given 14 days from the date of notification to respond in writing to the objection; an extension may be requested by the applicant. No licence(s) will be issued until the objection and the applicant's response, if any, have been considered by the Board.		
2.3.9	(Deleted Nov 2022)		
2.3.10	If no objections to the issue of a new licence are received within the 7 calendar days AGLC will continue with the licensing process. (Amended Apr and Dec 2020)		
2.3.11	If no objections to the issue of an existing licence are received within the 90 calendar days prior to the expiration of the current licence AGLC will continue with the licensing process. (Amended Dec 2020)		
2.3.12	The Board will not consider an objection for an existing licensed premises where there is a sale, transfer or change in control. Should an objection be received during a sale, transfer or change in control, the Board will deal with the objection at least 90 days before the expiration of the new licence term.		
Appeara	nces Before the Board		
2.3.13	(Deleted Nov 2022)		
2.3.14	If an application is to be heard by a Panel of the Board under Section 94 of the GLCA, AGLC may, at its discretion, call an objector as a witness before such a hearing. (Added Aug 2019)		
Other Liquor Licence(s)/Registrations			
2.3.15	(Deleted Nov 2022)		
2.3.16	Any objection to an application for a licence received after the specified time frames noted above will not be taken into consideration. The objector(s) will be advised accordingly.		

DATE ISSUED:

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SECTION: **APPLICATIONS** 

NUMBER:

2.3

# MANUFACTURER HANDBOOK

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# SUBJECT: OBJECTION TO AN APPLICATION

# **GUIDELINES**

- 2.3.17 A person requesting the floor plan/site plan of the applicant's proposed premises will be given 7 days from the date the application is posted to view the plans and to submit a written objection. (Amended Dec 2020)
- Objections and/or requests to view the plans of proposed premises 2.3.18 may be forwarded to:

Alberta Gaming, Liquor and Cannabis Director, Compliance 50 Corriveau Avenue St. Albert, AB. T8N 3T5 Fax: 780-447-8913 Email: Inspections.Mailbox@aglc.ca

2.3.19 If a request to view the plans is received, AGLC will contact the stakeholder to arrange a time to view the floor plan/site plan at the nearest AGLC office to the proposed premises. (See Section 1.3).

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NUMBER:

2.4

# MANUFACTURER HANDBOOK

Original signed by

# SUBJECT: CHANGE OF STATUS (SALE, ASSIGNMENT, TRANSFER OR CLOSURE)

# POLICIES

- 2.4.1 A manufacturer cannot sell, assign or transfer a liquor licence.
- 2.4.2 A manufacturer's liquor licence is automatically cancelled when:
  - a manufacturer sells, assigns or transfers a portion of the a) business in which activities authorized by the liquor licence are carried out; and
  - the sale, assignment or transfer results in a change in control of b) the business.
- 2.4.3 A sale, assignment or transfer of 5% or more of a business:
  - that is a sole proprietorship, a partnership or a corporation; or a)
  - that is a distributing corporation as defined in the Business b) Corporation Act; and
  - c) under which the activities authorized by a liquor licence are carried out;

must be reported to and approved by AGLC prior to the effective date of the sale, assignment or transfer. (Amended Dec. 2020)

2.4.4(Deleted Dec. 2020)

- 2.4.5 AGLC may, with respect to a sale, assignment or transfer as per Subsection 2.4.3:
  - approve it without conditions; a)
  - b) approve it with conditions;
  - approve it with changes to or removal of existing conditions; or c)
  - d) refuse to approve it.
- 2.4.6 Where AGLC refuses to approve a sale, assignment or transfer, after the sale, assignment or transfer takes effect AGLC may treat the licensee as ineligible to hold a liquor licence and:
  - a) cancel or suspend the licence; (Note: A licensee may request a Board hearing if the licence is cancelled or suspended) (Amended Nov 2022)

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SECTION: APPLICATIONS

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# SUBJECT: CHANGE OF STATUS (SALE, ASSIGNMENT, TRANSFER OR CLOSURE)

- b) require a person to dispose of an interest in the business under which the activities authorized by the licensee are carried out; and/or
  - c) require a person to dispose of an interest in a licensed premises.
- 2.4.7 When a licensed premises must be closed due to significant damage by fire or other natural events, the licence is cancelled by AGLC. However, the licensee may obtain a new licence if the premises is restored to an acceptable condition, complies with current policies and the licensee continues to qualify to hold a liquor licence.
- 2.4.8 Existing licences may remain in effect if a licensee is placed in receivership or bankruptcy. The licence may continue for a period determined by the Board of AGLC and is subject to any conditions imposed on the licence by the Board.
- 2.4.9 AGLC shall refuse to grant a licence to a new owner when an Incident Report or disciplinary action is in progress against the existing licensee until such time as the Incident Report or disciplinary action has been dealt with by AGLC.
- 2.4.10 A licence application fee will not be charged when:
  - a) individual owners incorporate and a controlling interest in the business continues to be held by the original owners;
  - b) the transfer or assignment of shares does not result in a transfer of control of the business; or
  - c) only the name of the company or premises changes.
- 2.4.11 The seller of a licensed premises may apply for a refund of the annual licence fee when:
  - a) the premises have been sold; and
  - b) (Deleted Nov 2022)
- 2.4.12 A refund will not be paid when:
  - a) the premises are destroyed by fire;
  - b) the premises are closed or licence surrendered;
  - c) the licence has been cancelled by the Board;

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- d) there is a change of licence class; or
- e) the licence is seasonal.
- 2.4.13 Refunds are based on the original annual licence fee less:
  - a) the prorated portion during which the licence was in effect; and
  - b) a \$100 administration charge.

# GUIDELINES

- 2.4.14 Prospective applicants wishing to purchase a licensed premises can find a list of the documents required by AGLC at <u>aglc.ca</u>.
- 2.4.15 A licensee selling or closing their premises permanently may sell their remaining liquor stock to another licensee. The purchaser of the liquor stock is responsible to ensure the product is legal and of acceptable quality (i.e., not adulterated or contaminated).

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NUMBER: 3.1

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# SUBJECT: CLASS E LICENCE - SMALL MANUFACTURER

# POLICIES

- 3.1.1 A Class E Small Manufacturer's licence may be issued to a qualified applicant to manufacture:
  - a) 400,000 hectolitres (hl) or less of beer in total annual worldwide production (AWP);
  - b) 20,000 hl or less of cider, refreshment beverages (e.g. coolers), or kombucha in total AWP (i.e. any combination of cider, refreshment beverages or kombucha totalling 20,000 hl or below);
  - c) 10,000 hl or less of wine or mead in total AWP (i.e. any combination of wine and mead totalling 10,000 hl or below); or
  - d) 160,000 Litres of Absolute Alcohol (LAA) or less of spirits in total AWP [for example 160,000 L or 1,600 hl of Absolute Alcohol = 400,000 L or 4,000 hl AWP of finished product at 40% Alcohol by Volume (ABV)]. (Amended Dec 2022)

# Annual Worldwide Production (AWP)

- 3.1.2 AGLC includes the total AWP in the facility/facilities (based on the previous calendar year) where the liquor manufacturer is or was producing or obtaining its liquor products. This includes all liquor products and all contracted or leased volumes being produced for the manufacturer by other parties. This does not include contracted volumes being produced by the manufacturer declaring production for another manufacturer and/or agency. Note: If a manufacturer or associate of the manufacturer [as defined in Section 1(7) of the GLCR] has controlling interest [as defined in Section 1(2) of the GLCR] in another manufacturing facility, the AWP of all products in all facilities will be added together to determine the AWP. (Amended Aug 2023)
- 3.1.3 A Class E Small Manufacturer must submit a Declaration of Production to AGLC within 90 days of the previous calendar year for the purpose of determining AWP. (Added Aug 2023)
  - a) The manufacturer must provide independent verification to AGLC that reported production volumes of all products are accurately reflected in the Declaration of Production.

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# SUBJECT: CLASS E LICENCE - SMALL MANUFACTURER

- b) The Declaration of Production is subject to review and audit by AGLC. (Added Mar 2023)
- 3.1.4 A Class E Small Manufacturer may qualify for a reduced markup rate (Markup Rate Schedule) if the following conditions are met:
  - a) AGLC receives a complete and accurate Declaration of Production within the 90 days of the previous calendar year; and
  - b) the manufacturer complies with the conditions set out in Section 5.4.

(Added Aug 2023)

- 3.1.5 When applying for a Class E licence, a manufacturer must provide proof of application for a Federal Excise Licence (e.g. confirmation email from the Canada Revenue Agency).
- 3.1.6 Licensees must operate and have legal control of the manufacturing facility and any equipment used in the production of the liquor. Any equipment used must be commercially made equipment, intended for the commercial manufacture of liquor. The facility must be dedicated to the manufacture of liquor and be physically separate from other businesses (i.e. its own entrance and exit separate from the entrance and exit of other businesses; a floor to ceiling wall between the businesses; and its own receiving and storage area separate from other businesses). The facility must receive municipal approval. In addition, a residence as defined in Section 1(1)(z) of the GLCA, is not an allowable location for a manufacturing facility. (Amended Mar 2020)
- 3.1.7 The use of neutral spirits and/or other beverage alcohol obtained in bulk is permitted as an input in the manufacturing of liquor products. Starting materials may include neutral spirits and existing beverage alcohol (i.e., liquor made by another manufacturer).

Note: "Bulk" means alcohol that is not packaged or sold to consumers. (Amended May 2021)

- 3.1.8 (Deleted May 2021)
- 3.1.9 (Deleted Mar 2020)
- 3.1.10 (Deleted Mar 2020)
- 3.1.11 (Deleted Mar 2020)
- 3.1.12 A licensee may blend or flavour liquor products obtained in bulk from other manufacturers/suppliers. A licensee that provides blending or

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		ouring services to other manufacturers/suppliers is considered to contract manufacturing (refer to Section 6.2) (Amended May 2021)	
3.1.13	(Delete	ed Mar 2020)	
3.1.14		censee may provide packaging services (as defined in Section 3.4) ther liquor suppliers. (Amended May 2021)	
3.1.15	(Delete	ed May 2021)	
3.1.16		or products manufactured by a licensee are subject to periodic mical analysis by AGLC.	
3.1.17	The	licensee warrants that all products manufactured:	
	a)	are of merchantable quality, fit and intended for human consumption and free from defects or corruption of any kind;	
	b)	fall within the maximum allowable limits as specified in the NQAC Quality and Health Standards and Alcohol Tolerances (as mentioned in the <u>Liquor Agency Portal</u> ); (Amended Mar 2020)	
	c)	comply with NQAC standards for Tamper Evident Packaging (as mentioned in the Liquor Agency Portal); and (Amended Mar 2020)	
	d)	are packaged and labelled in safe containers and packages and in compliance with the <i>Controlled Drugs and Substances Act</i> (Canada), the <i>Food and Drugs Act</i> (Canada) and any regulations passed under this legislation as may be amended from time to time.	
3.1.18	AGL	C retains the right to request a sample for analysis if required.	
3.1.19	3.1.19 The licensee must indemnify and hold harmless the AGLC, its employees and agents from any claims, demands, actions, liability, loss, expense or damage that may arise directly or indirectly from the production, sale or consumption of goods produced by the Class E licensee or from any act or omission of the Class E licensee, its employees or agents, including without limiting the generality of the foregoing:		
	a)	infringement of copyrights, patents or trademark rights by the Class E licensee;	
	b)	any defect, flaw, fault or corruption alleged or proven in any goods supplied by the Class E licensee;	
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	c)	any failure by the Class E licensee to package or label goods as warranted above;		
	d)	non-compliance with the <i>Controlled Drugs and Substances Act</i> (Canada) and regulations;		
	e)	non-compliance with the <i>Food and Drugs Act</i> (Canada) and regulations;		
	f)	non-compliance with NQAC Quality and Health Standards and Alcohol Tolerances (as mentioned in the <u>Liquor Agency Portal</u> ); and (Amended Mar 2020)		
	g)	non-compliance with NQAC Standards for Tamper Evident Packaging (as mentioned in the Liquor Agency Portal). (Amended Mar 2020)		
3.1.20	A lic	ensee is not permitted to produce vaporized alcoholic beverages.		
3.1.21	(Delete	rd May 2021)		
3.1.22	ano <sup>:</sup> serv	A licensee may not enter into a contract or any other relationship with another licensee that results in an exchange of money, benefits or services except as allowed under Subsections 3.1.10 and 6.2 and Section 9.		
3.1.23	(Delete	rd May 2021)		
3.1.24	(Delete	rd May 2021)		
3.1.25	dep	censee's products are subject to provincial markup. Markup rates end on product type, alcohol percentage and a manufacturer's P ( <u>Markup Rate Schedule</u> ). (Amended Aug 2023)		
3.1.26	Clas	s E Small Manufacturers may:		
	a)	apply for one or more Class A liquor licences, including Minors Allowed, Minors Prohibited, Manufacturer's Taproom and/or Manufacturer's Lounge for the sale and consumption of liquor on licensed premises (see Sections 3.7 – 3.10); (Amended May 2021)		
	b)	apply for a Class D Manufacturer's Off Sales licence for the sale of products made by the manufacturer for off-premises consumption, including delivery (Section 3.5 - 3.6); (Amended May 2021)		
	c)	sell their products at farmers' markets and artisan markets (see Section 3.5);		
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	<ul> <li>establish a hospitality room in a private reception area within the manufacturing facility for the purposes of a Special Event Hospitality licence; and</li> </ul>
	e) distribute products that are sold through AGLC directly from the Class E Small Manufacturer to other liquor licensees (see Section 5.4). (Added May 2021)
3.1.27	(Deleted May 2021)
3.1.28	(Deleted May 2021)
3.1.29	(Deleted May 2021)
3.1.30	If a Small Manufacturer exceeds the total AWP specified in Subsection 3.1.1 and also owns more than one Class A premises and/or Class A premises located on a different property than the Class E licensed premises, the manufacturer must divest itself of these Class A premises within a year of declaring its total annual worldwide production to AGLC.
3.1.31	If there is a sale, assignment or transfer of a portion of the small manufacturer's business to a manufacturer, the manufacturer must divest itself of any Class A premises located on different property than the Class E licensed premises within a year of the sale, assignment or transfer.
3.1.32	(Deleted May 2021)
3.1.33	(Deleted May 2021)
3.1.34	(Deleted May 2021)
GUIDELI	NES
3.1.35	(Deleted May 2021)
3.1.36	(Deleted May 2021)
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SECTION: CLASSES OF LICENCES

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# SUBJECT: CLASS E LICENCE – ESTATE MANUFACTURER

(Deleted May 2021)

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SECTION: CLASSES OF LICENCES

NUMBER: 3.3

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# SUBJECT: CLASS E LICENCE - MANUFACTURER

# POLICIES

- 3.3.1 A Class E Manufacturer's licence may be issued to a qualified applicant to manufacture:
  - a) More than 400,000 hectolitres (hl) of beer in total annual worldwide production (AWP);
  - b) More than 20,000 hl of cider, refreshment beverages (e.g. coolers), or kombucha in total AWP (i.e. any combination of cider, refreshment beverages or kombucha above 20,000 hl);
  - c) More than 10,000 hl of wine or mead in total AWP; (i.e. any combination of wine and mead above 10,000 hl); or
  - d) More than 160,000 Litres of Absolute Alcohol (LAA) of spirits in total AWP [for example, 160,000 L or 1,600 hl of Absolute Alcohol = 400,000 L or 4,000 hl AWP of finished product at 40% Alcohol by Volume (ABV)] (Amended Dec 2022)
- 3.3.2 Manufacturers must comply with the manufacturing policies specified in Subsections 3.1.2 3.1.23.
- 3.3.3 Manufacturers are not permitted to sell their products at farmers' markets, artisan markets or trade shows. (Amended June 2023)
- 3.3.4 (Deleted May 2021)
- 3.3.5 (Deleted May 2021)
- 3.3.6 (Deleted May 2021)
- 3.3.7 (Deleted May 2021)
- 3.3.8 (Deleted May 2021)
- 3.3.9 Class E Manufacturers may:
  - a) apply for a Class A Minors Allowed or Minors Prohibited licence, for the sale and consumption of liquor on a licensed premises if the manufacturing facility and Class A liquor licence are located on the same property (see Sections 3.7 and 3.10). (Amended May 2021)
  - apply for a Class A Manufacturer Taproom or a Manufacturer Lounge licence for the sale and consumption of liquor on licensed premises (see Section 3.9 – 3.10) (Amended May 2021)

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# SUBJECT: CLASS E LICENCE - MANUFACTURER

- c) apply for a Class D Manufacturer's Off Sales licence for the sale of products made by the manufacturer for off-premises consumption, including delivery (see Section 3.5 - 3.6); and (Amended May 2021)
- d) establish a hospitality room in a private reception area within the manufacturing facility for the purposes of a Special Event Hospitality licence.

(Added May 2021)

## GUIDELINES

- 3.3.10 An out-of-province manufacturer that supplies product to AGLC does not require a Class E licence.
- 3.3.11 (Deleted May 2021)
- 3.3.12 (Deleted May 2021)

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SECTION: **CLASS OF LICENCES** 

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#### SUBJECT: **CLASS E LICENCE - PACKAGING**

# POLICIES

- 3.4.1 In Section 3.4:
  - a) "blend" means to combine or mix liquor with other liquor or non-liquor ingredients;
  - "finished product" means the final packaged product intended b) for human consumption;
  - "flavour" means to deliberately alter the taste of; and c)
  - d) "package" means to transfer liquor into a container such as a bottle, can, box or other receptacle used for holding liquor and/or into any package that holds the containers.
- 3.4.2 A Class E - Packaging licence will only be issued to blend, flavor and/or package liquor for, or on behalf of, a liquor supplier as approved by AGLC.
- 3.4.3 A Class E – Packaging licensee will be permitted to:
  - a) blend, flavour and package liquor in its licensed premises;
  - possess and store the liquor it blends, flavours and packages in b) its licensed premises; and
  - deliver the liquor it blends, flavours and packages to: c)
    - i) AGLC on behalf of the liquor supplier; or
    - the liquor supplier. ii)
- 3.4.4 A Class E - Packaging licensee is prohibited from manufacturing or selling liquor.
- Before a Class E Packaging licence is issued, the applicant must obtain 3.4.5 a Federal Excise Licence.
- 3.4.6 The Class E - Packaging licensee warrants that all goods supplied to AGLC:
  - are of merchantable quality, fit and intended for human a) consumption and free from defects or corruption of any kind;
  - b) fall within the maximum allowable limits as specified in the AGLC Quality and Health Standards and Alcohol Tolerances (as mentioned in the Liquor Agency Portal);

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	c)	comply with AGLC Standards for Tamper Evident Packaging (as mentioned in the Liquor Agency Portal); and					
	d)	are packaged and labelled in safe containers and packages and in compliance with the <i>Controlled Drugs and Substances Act</i> (Canada), the <i>Food and Drugs Act</i> (Canada) and any regulations passed under this legislation as may be amended from time to time.					
3.4.7	(Deleted May 2021)						
3.4.8	(Deleted May 2021)						
3.4.9	The Class E - Packaging licensee must indemnify and hold harmless AGLC, its employees and agents from any claims, demands, actions, liability, loss, expense or damage that may arise directly or indirectly out of the production, sale or consumption of goods produced by the Class E – Packaging licensee or out of any act or omission of the Class E – Packaging licensee, its employees or agents, including without limiting the generality of the foregoing:						
	a)	infringement of copyrights, patents or trademark rights by the Class E – Packaging licensee;					
	<ul> <li>any defect, flaw, fault or corruption alleged or proven in ar goods supplied by the Class E – Packaging licensee;</li> </ul>						
	<ul> <li>c) any failure by the Class E – Packaging licensee to package or la goods as warranted above;</li> <li>d) non-compliance with the <i>Controlled Drugs and Substances</i> (Canada) and regulations;</li> </ul>						
	e)	non-compliance with the <i>Food and Drugs Act</i> (Canada) and regulations;					
	<ul> <li>f) non-compliance with the AGLC Quality and Health Standards and Alcohol Tolerances (as mentioned in the <u>Liquor Agency</u> <u>Portal</u>); and</li> </ul>						
	g)	g) non-compliance with AGLC Standards for Tamper Evident Packaging (as mentioned in the <u>Liquor Agency Portal</u> ).					
3.4.10	AGLC retains the right to request a sample for analysis, if required.						
3.4.11	(Deleted May 2021)						
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GUIDELINES						
3.4.12	(Deleted May 2021)					
3.4.13	(Deleted May 2021)					
3.4.14	(Deleted May 2021)					
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NUMBER: 3.5

# MANUFACTURER HANDBOOK

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## SUBJECT: CLASS D LICENCE - MANUFACTURER OFF SALES

# POLICIES

- 3.5.1 A Small Manufacturer or Manufacturer holding a valid Class E licence may obtain a Class D Off Sales licence authorizing the sale of products made by the manufacturer for off-premises consumption with the following conditions:
  - a) the licensee operates and has legal control of the facility in which the off sales outlet is located;
  - b) unless otherwise approved by the Board, only products manufactured under the Class E licence may be sold through off sales;
  - c) the licensee must collect and remit the per-litre flat markup on behalf of AGLC for all off sales; (Added Jan 2022)
  - d) the licensee must collect and remit the following to AGLC for all off sales:
    - i) container deposit;
    - ii) environmental fees; and
    - iii) applicable GST charges.

(Amended Jan 2022)

- e) (Deleted May 2021)
- f) liquor sold under a Class D licence must be in sealed containers and removed from the premises by the patron for off-premises consumption.
- 3.5.2 A Small Manufacturer holding a Class D Manufacturer's Off Sales Licence will have an endorsement on its licence to allow the sale of product at farmers' markets approved by the Ministry of Agriculture, Forestry and Rural Economic Development under the following conditions:
  - a) all provisions of Subsection 3.5.1b) e) must be met;
  - b) a designated, self-contained area must be available for product storage, display and sales;
  - c) minors must not be served or sold any liquor;
  - d) must obtain approval from the appropriate health authority; and (Amended June 2019)

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## SUBJECT: CLASS D LICENCE - MANUFACTURER OFF SALES

e) must obtain approval from the desired event. (Amended June 2019, Jan 2022 and June 2023)

- 3.5.3 A Small Manufacturer holding a Class D Manufacturer's Off Sales Licence will have an endorsement on its licence to allow the sale of product at artisan markets approved in advance by AGLC. (Amended June 2023) In addition to meeting the conditions specified in Subsection 3.5.2a) e), the artisan market must have a have a written jurying process (or similar show entry or vendor selection process) that ensures:
  - a) the market focus is on high-quality, handmade goods;
  - b) the market is at minimum composed of 80% vendors/manufacturers who sell self-produced goods and not commercially produced goods; (Amended June 2019)
  - C) (Deleted June 2019)
  - d) no used goods;
  - e) each manufacturer has its own booth that must be staffed by the manufacturer or its direct employees;
  - f) the market is comprised of a variety of artisan vendor types; and
  - g) liquor products and/or work assembled from commercial kits or materials are not allowed.
- 3.5.4 A Small Manufacturer holding a Class D Manufacturer's Off Sales Licence will have an endorsement on its licence to allow the sale of product at trade shows approved in advance by AGLC. In addition to meeting the conditions specified in Subsection 3.5.2a) – e), the trade show must:
  - a) feature businesses in a particular industry promoting their products and services;
  - b) be held at a public facility (e.g. convention centre, exhibition centre, expo centre); and/or
  - c) be a ticketed event. (Added June 2023)
- 3.5.5 No one under age 18 may be admitted to an off sales outlet unless accompanied by a parent, guardian or spouse who is an adult and who is on the licensed premises to purchase liquor for off-premises consumption.

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SUBJECT	: CLASS D LICENCE - MANUFACTURER OFF SALES
3.5.6	A Class D – Manufacturer's Off Sales licensee may deliver liquor subject to the conditions specified in Section 3.6.
3.5.7	(Deleted May 2021)
3.5.8	A licensee may designate a self-contained area within the off sales outlet for hospitality purposes. Products used for hospitality purposes must be purchased from AGLC.
3.5.9	A licensee is authorized to set their own prices for off sales to consumers, however sales to other licensees must be at the product's registered wholesale price. (Amended May 2021)
3.5.10	(Deleted May 2021)



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#### SUBJECT: **CLASS D LICENCE – DELIVERY SERVICE**

# POLICIES

- 3.6.1 A Small Manufacturer or Manufacturer holding a valid Class D Manufacturer's Off Sales licence, is automatically approved for a Class D Delivery Service licence. (Amended May 2021)
- 3.6.2 Liquor to fill orders must be purchased from a manufacturer's off sales licence. (Amended May 2021)
- 3.6.3 Liquor delivery is subject to the following conditions:
  - liquor must only be delivered to locations where liquor a) possession and consumption is legal (i.e., a private residence, temporary residence or office). (Amended May 2024)
  - b) the delivery person must be at least 18 years of age and either be:
    - i) the licensee; or
    - staff of the licensee, including contracted staff, whose ii) actions are the responsibility of the licensee; or
    - a delivery service licensee. If a store contracts with an iii) individual or company (e.g. taxi) to deliver orders, that party must have a delivery service licence and only deliver to locations within Alberta. The store must demand proof that a licence is in effect. (Amended May 2024)
  - liquor may be delivered by a common carrier. c)
  - d) liquor must not be delivered to a minor or intoxicated person.
  - e) When an order is to be delivered to an individual who appears to be under age 25, the delivery person must check photograph identification (see Subsection 7.4.).
- 3.6.4 Liquor delivery to another licensee is subject to the following conditions:
  - a) Liquor must only be delivered to a valid liquor licensee.
  - b) The delivery person must be at least 18 years of age and either be:
    - i) the licensee; or

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## SUBJECT: CLASS D LICENCE – DELIVERY SERVICE

- ii) staff of the licensee, including contracted staff, whose actions are the responsibility of the licensee.
- c) Only liquor the liquor licensee orders may be delivered to the licensee.
- 3.6.5 (Deleted May 2021)
- 3.6.6 (Moved to 5.4.5 May 2021)
- 3.6.7 (Moved to 5.4.6 May 2021)
- 3.6.8 A liquor order must leave the licensed premises during regular business hours; however, delivery may continue for 30 minutes after closing. (Amended May 2021)
- 3.6.9 (Moved to 5.4.6 May 2021)
- 3.6.10 A licensee may charge a fee for delivery services. (Amended May 2021)

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## SUBJECT: CLASS A LICENCE

# POLICIES

- 3.7.1 A Class A licence may be issued for the sale and consumption of liquor on licensed premises that serves the general public where food is the primary source of business. Sealed liquor may also be sold for consumption off the licensed premises (see Subsection 3.7.16). (Amended Mar 2020 and Nov 2022)
- 3.7.2 The licensee must post AGLC's Fetal Alcohol Spectrum Disorder poster in a prominent location in its licensed premises. The poster is available for download on AGLC website at: https://aglc.ca/liguor/responsible-liguor-service/posters-andbrochures

## Class A Minors Allowed (Added Nov 2022)

- 373 A Class A Minors Allowed licence may be issued for the sale and consumption of liquor on licensed premises that serves the general public where food is the primary source of business.
- 3.7.4 The licensed premises must be located in a permanent facility and contain equipment to support the primary business of food service. Food service must be available during all hours of liquor service.

#### 3.7.5 Staff must be:

- available to prepare food, serve food and liquor and supervise a) patrons during all hours of liquor service; and
- b) at least 18 years of age to carry or serve liquor, or to manage the licensed premises.
- 3.7.6 A Class A Minors Allowed licensee may apply to AGLC to change the style of operation during evening hours (usually after 9 p.m.) to a Minors Prohibited licensed premises (adults only including staff). If approved, no one under the age of 18 is allowed to enter the licensed premises during these hours.

## Class A Minors Prohibited (Added Nov 2022)

- 3.7.7 A Class A Minors Prohibited licence may be issued for the sale and consumption of liquor on licensed premises that are open to the general public and where liquor is the primary source of business.
- 3.7.8 The licensed premises must be in a permanent facility and meet the following requirements:

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## MANUFACTURER HANDBOOK

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## SUBJECT: CLASS A LICENCE

- Full-height solid walls enclosing the premises [full height wall a) means a wall at least 2.44 metres (eight (8) feet) high, normally floor to ceiling];
- Food service comprising of a minimum of a snack-type food b) menu (e.g., potato chips, nuts or other preserved snacks); Food service is not required after 11 p.m.
- Liquor service provided from a staffed and suitably equipped c) bar.
- Staff being: d)
  - available to provide liquor and food service and to i) supervise patrons during all hours of liquor service; and
  - ii) at least 18 years of age.
- 3.7.9 An adjacent Minors Allowed licensed premises may also share the liquor service bar.

## **GUIDELINES**

- 3.7.10 (Deleted Dec 2020)
- 3.7.11 (Deleted Dec 2020)
- 3.7.12 (Deleted Dec 2020)
- 3.7.13 (Deleted Dec 2020)
- 3.7.14 (Deleted Dec 2020)
- 3.7.15 A Class A licensee may request: (Amended Nov 2022)
  - an extension to an adjoining patio area (see Section 7.13); or a)
  - a Caterer's Extension to provide food and liquor to events away b) from the licensed premises (see Section 7.14).
- 3.7.16 If liquor is sold for off premises consumption, the liquor must be in a sealed, commercial container. In the case of draught beer, the cap design of the container (e.g., growlers or crowlers) should demonstrate the container has not been opened during transportation. (Added Mar 2020)

A Class A licensee may deliver liquor subject to the conditions specified in Subsections 3.6.3 - 3.6.4. (Added May 2020)

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# SUBJECT: CLASS A LICENCE - MINORS PROHIBITED

(Deleted Nov 2022)

Please see Section 3.7 for policies regarding a Class A Minors Prohibited premises.

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## SUBJECT: CLASS A LICENCE - MINORS PROHIBITED

- 3.8.9 An adjacent Minors Allowed licensed premises may also share the liquor service bar.
- 3.8.10 (Deleted Dec. 2020)
- 3.8.11 A Class A Minors Prohibited licensee may request:
  - an extension to an adjoining patio area (see Section 7.13); or a)
  - b) a Caterer's Extension to provide food and liquor to events away from the licensed premises (see Section 7.14).
- 3.8.12 If liquor is sold for off premises consumption, the liquor must be in a sealed, commercial container. In the case of draught beer, the cap design of the container (e.g. growlers or crowlers) should demonstrate the container has not been opened during transportation. (Added Mar 2020)
- A Class A licensee may deliver liquor subject to the conditions 3.8.13 specified in Subsections 3.6.4 – 3.6.7. (Added May 2020)

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# MANUFACTURER HANDBOOK

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# SUBJECT: CLASS A LICENCE - MANUFACTURER TAPROOM (COMMUNITY HUB)

# POLICIES

3.9.1 A manufacturer holding a valid Class E licence may obtain a Class A Taproom licence authorizing the sale and consumption of liquor on licensed premises that are open to the general public and where manufacturing is the primary source of business. Sealed liquor may also be sold for consumption off the licensed premises. If liquor is sold for off premises consumption, the liquor must be in a sealed, commercial container. In the case of draught beer, the cap design of the container (e.g. growlers or crowlers) should demonstrate the container has not been opened during transportation. (Amended Dec. 2020)

# **Physical Requirements**

- 3.9.2 The licensed premises must be in a permanent facility and the licensee must operate and have legal control of the premises where the sale of the product will occur.
- 3.9.3 The taproom must meet the following requirements:
  - the taproom must be located on the premises of the associated a) Class E manufacturing facility and the manufacturer must be producing liquor;
  - the premises must be suitably defined to separate the taproom b) from the manufacturing facility; patrons must not be able to freely access the manufacturing area (e.g. enclosed by a physical barrier, solid walls or glass partition);
  - there must be a service bar; c)
  - there must be public washrooms in accordance with the d) Alberta Building Code;
  - there may be an adjoining outdoor patio that meets the patio e) requirements specified in Section 7.13.

## **Product Sales**

3.9.4 Only liquor products manufactured under the Class E licence may be sold at the premises. However, other liquor products may be used with the manufacturer's primary liquor ingredient in drinks that showcases the manufacturer's products. These other products must not be sold exclusively on their own.

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# MANUFACTURER HANDBOOK

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# SUBJECT: CLASS A LICENCE - MANUFACTURER TAPROOM (COMMUNITY HUB)

- 3.9.5 If the licensee has a Class D Manufacturer Off Sales licence, it may sell its manufactured products for off premises consumption. These products must be sold in sealed containers. Special considerations exist for draught beer and bulk wine sales, including:
  - a) the cap design should enable the purchaser to demonstrate that the container has not been opened during transportation;
  - b) available quantities and related prices must be clearly displayed;
  - c) disposable containers are acceptable, however, a container deposit may become necessary to ensure environmental concerns are addressed; and
  - d) the federal government sets standards in areas such as acceptable container material and labelling content.
- 3.9.6 Tastings are permitted, but must comply with the Tastings policy listed under Section 9.5.
- 3.9.7 The licensee must maintain records of all sales satisfactory to AGLC.

## **Food Service**

- 3.9.8 Food service is required in the taproom at all hours liquor service is available. Acceptable food service consists of 5-6 items suitable for a light meal. This may be a combination of hot and cold food items. A menu must be submitted to AGLC for approval.
- 3.9.9 Acceptable food service may be provided by:
  - having suitable kitchen equipment within the premises capable of providing the appropriate food items listed in Subsection 3.9.8; or
  - b) a third party, via a food service contract for the hours the premises is open to the public, with the approval of AGLC (e.g. food truck or local restaurant).

**Note:** Where a food service contract is not in place or honoured, the licensee must provide suitable kitchen equipment capable of providing hot or cold food items suitable for a light meal.

## **Entertainment and Events**

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## MANUFACTURER HANDBOOK

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# SUBJECT: CLASS A LICENCE - MANUFACTURER TAPROOM (COMMUNITY HUB)

- 3.9.10 Licensees may have private events within the taproom area. During an event, food service must be provided.
- 3.9.11 Licensees may provide entertainment and games in the taproom premises as listed under Section 7.9.

## **Special Event Licences**

- 3.9.12 The licensee has the option to suspend its Class A Taproom licence to hold private Special Event Licences (SEL). The following conditions must be met in order to conduct such an event:
  - a) an SEL must be issued to a qualified applicant prior to the event taking place;
  - b) all liquor purchases and sales are the responsibility of the SEL holder;
  - c) the Class A taproom licence must be suspended for the duration of the SEL; and
  - d) the SEL holder must comply to the SEL policies specified in Section 9.12.
- 3.9.13 The taproom licensee may charge a fee to the SEL holder for its services.
- 3.9.14 If the taproom licensee has a Class D Off Sales licence within the taproom premises where the SEL is occurring, the Class D licence must be suspended along with the Class A Taproom licence.
- 3.9.15 If the Class D Off Sales licence is in a separate and defined area with its own entrance/exit, and operates independently of the Class A Taproom licence, the Class D licence may remain in effect for that area only.

### Minors

3.9.16 Minors are permitted in the premises, but must be accompanied by a parent, guardian or spouse who is an adult.

Minors are prohibited from working in the premises.

**Note:** Additional policies regarding minors may be found in Section 7.4.

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# MANUFACTURER HANDBOOK

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# SUBJECT: CLASS A LICENCE - MANUFACTURER LOUNGE

# POLICIES

- A manufacturer holding a valid Class E licence may obtain a Class A 3.10.1 Manufacturer Lounge licence authorizing the sale of their products for on-premises consumption in order to provide customers the opportunity to assess the products. The manufacturer's lounge must not operate like other Class A premises (e.g. restaurant, bar, taproom). If liquor is sold for off premises consumption, the liquor must be in a sealed, commercial container. In the case of draught beer, the cap design of the container (e.g. growlers or crowlers) should demonstrate the container has not been opened during transportation. (Amended Dec. 2020)
- 3.10.2 The licensee may host functions at the premises directed at the education, tasting and promotion of the manufactured product (e.g. product launches, product anniversary events).

# **Physical Requirements**

- 3.10.3 The licensed premises must be in a permanent facility and the licensee must operate and have legal control of the premises where the sale of the product will occur.
- 3.10.4 The manufacturer's lounge must meet the following requirements:
  - a) the lounge must be located on the premises of the associated Class E manufacturing facility and the manufacturer must be producing liquor;
  - the premises must have a physically defined area acceptable to b) AGLC;
  - there must be a service bar in the premises; c)
  - d) there must be public washrooms in accordance with the Alberta Building Code; and
  - e) the premises may have an adjoining outdoor patio that meets the patio requirements, guidelines are available on AGLC website: aglc.ca.

## **Product Sales**

Only liquor products manufactured under the Class E licence may be 3.10.5 sold at the premises. However, other liquor products may be used

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## SUBJECT: CLASS A LICENCE - MANUFACTURER LOUNGE

	showca	he manufacturer's prima ase the manufacturer's p sold exclusively on their o	roducts. These oth	
3.10.6	manufa must k	licensee has a Class D actured products for off p be sold in sealed contain t beer and bulk wine sales	remises consumptic ners. Special consid	on. These products
	•	the cap design should e that the container has not	•	
		available quantities and displayed;	d related prices	must be clearly
	·	disposable containers ar deposit may become r concerns are addressed; a	necessary to ensu	
		the federal government acceptable container mat		
3.10.7	-	s are permitted, but must Section 9.5.	comply with the Ta	stings policy listed
3.10.8	The lice	ensee must maintain recor	ds of all sales satisf	actory to AGLC.
Minors				
3.10.9		are permitted in the prei , guardian or spouse who i		accompanied by a
3.10.10	10 Minors are prohibited from working in the premises. Note: Additional policies regarding minors may be found in Section 7.4.			
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SECTION: PRODUCT REGISTRATION

NUMBER: 4.1

## MANUFACTURER HANDBOOK

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## SUBJECT: GENERAL INFORMATION

### POLICIES

- 4.1.1 All liquor products in Alberta must be registered with AGLC and sold through AGLC.
- 4.1.2 Liquor manufacturers must complete, sign and submit a "Letter of Authorization" regarding product registrations.
- 4.1.3 In addition, if a manufacturer acts as its own liquor agency, the manufacturer must complete, sign and submit a "Letter of Understanding."
- 4.1.4 Each particular product brand must not be represented by more than one (1) liquor agency at the same time, unless otherwise stated in Subsection 6.2.3j)iii). (Amended May 2021)
- 4.1.5 (Deleted May 2021)
- 4.1.6 (Deleted May 2021)
- 4.1.7 The product description must be an accurate reflection of the actual product.
- 4.1.8 Each product must have its own unique product registration. One AGLC product code cannot be used for different, multiple product registrations/sales entries (e.g., seasonal listings). (Added Oct 2023)
- 4.1.9 A liquor manufacturer/agency must advise AGLC immediately if it becomes aware of changes to sales units per case or alcohol by volume on registered products.
- 4.1.10 (Deleted May 2021)
- 4.1.11 If the product has an attached on-pack (liquor either originating from the manufacturer or assembled by an AGLC Authorized Warehouse for sale to licensees), the product description must indicate the inclusion of the on-pack. (Amended Dec 2019)
- 4.1.12 Manufacturers/agencies are not permitted to impose a minimum order quantity per product per licensee.
- 4.1.13 For the purposes of registration, liquor product categories are defined as follows: (Added Oct 2023)
  - a) "Beer" has the meaning given to it in Section B.02.130 of the Canadian Food and Drug Regulation;

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SECTION: PRODUCT REGISTRATION

NUMBER: 4.1

# MANUFACTURER HANDBOOK

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SUBJECT:	GENERAL INFORMATION
b)	"Brandy" is a type of spirit with the meaning given to it in Section B.02.050 of the Canadian Food and Drug Regulation;
c)	"Cider" has the meaning given to it in Section B.02.120 of the Canadian Food and Drug Regulation;
d)	"Gin" is a type of spirit with the meaning given to it in B.02.040 of the Canadian Food and Drug Regulation;
e)	"Kombucha beverage" means a fermented beverage made from brewed tea and sugar;
f)	"Liqueur" is a type of spirit with the meaning given to it in Section B.070 of the Canadian Food and Drug Regulation;
g)	"Mezcal" is a type of spirit with the meaning given to it in Section B.091 of the Canadian Food and Drug Regulation;
h)	"Refreshment beverage" refers to a beverage alcohol product produced from a base of wine, spirits, beer, and/or cider, where the base components of wine, spirits, beer, and/or cider are defined in the <i>Food and Drugs Act</i> and Regulations. Other liquids and/or ingredients such as sugar, fruit juices, vegetable juices, natural or artificial flavouring preparations, water or mineral water, herbs, spices, or other botanical substances and/or carbon dioxide may be added either individually or in any combination to the base component(s); (Amended Sep 2023)
i)	"Spirituous Cordial" is a type of spirit with the meaning given to it in Section B.02.070 of the Canadian Food and Drug Regulation;
j)	"Tequila" is a type of spirit with the meaning given in Section B.02090 of the Canadian Food and Drug Regulation;
k)	"Vodka" is a type of spirit with the meaning given in Section B.02080 of the Canadian Food and Drug Regulation;
I)	"Whisky" is a type of spirit given meaning in Section B.02.010 and B.02.020 of the Canadian Food and Drug Regulation; and
m	) "Wine" has the meaning given to it in Section B.02.100 of the Canadian Food and Drug Regulation.
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SECTION: PRODUCT REGISTRATION

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## MANUFACTURER HANDBOOK

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### SUBJECT: GENERAL INFORMATION

### GUIDELINES

- 4.1.14 (Deleted May 2021)
- 4.1.15 (Deleted May 2021)
- 4.1.16 (Deleted May 2021)
- 4.1.17 Manufacturers/agencies may impose a maximum order quantity per product per licensee.

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SECTION: PRODUCT REGISTRATION

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# MANUFACTURER HANDBOOK

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## SUBJECT: PRODUCT CODE ASSIGNMENT

## POLICIES

- 4.2.1 AGLC will assign a unique six-digit Product Code to new products.
- 4.2.2 Manufacturers/agencies must use the assigned Product Codes when quoting price changes to AGLC or requesting any product information updates

# CENTRAL AGLC AUTHORIZED WAREHOUSE

4.2.3 For product that is distributed through the Central AGLC Authorized Warehouse, the Shipping Container Code (SCC) must be printed on the outer shipping carton/case on two (2) adjacent sides. (Amended May 2021)

## **GUIDELINES**

4.2.4 AGLC does not require the Product Code or the Universal Product Code (UPC) be printed on the product's packaging or label.

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SECTION: **PRODUCT REGISTRATION** 

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# MANUFACTURER HANDBOOK

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# SUBJECT: NON-STANDARD SIZES (APPLIES TO WINES ONLY)

# POLICIES

- 4.3.1 Wine may only be offered for sale by AGLC to liquor licensees if the container size has a net quantity of 50ml, 100ml, 200ml, 250ml, 375ml, 500ml, 750ml, 1L, 1.5L, 2L, 3L, or 4L (litres). Wines that do not fall within these parameters are in violation of Section 36 1(c) of the Federal Consumer Packaging and Labelling Regulation. Non-standard size wine may only be sold by AGLC to liquor licensees:
  - for use in the food service industry; a)
  - b) for use by special event licensees; or
  - c) for promotional purposes (this does not include on-packing or added-value promotions).

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SECTION: PRODUCT REGISTRATION

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# SUBJECT: CONTAINERS

## POLICIES

- 4.4.1 Beverage containers in Alberta are governed by the Environmental *Protection and Enhancement Act* and the Beverage Container Recycling Regulation.
- 4.4.2 This legislation requires all beverage containers to be registered. AGLC will only accept liquor containers that are currently registered with the Beverage Container Management Board (BCMB) (see Section 4.4.6). (Amended Feb 2024)
- 4.4.3 Liquor manufacturers/agencies requesting products to be listed which are not in a container of a type currently registered in Alberta, must have the container registered with the BCMB. AGLC will not list the product until the container is registered.

### **GUIDELINES**

- 4.4.4 For de-alcoholized beverages, and other non-liquor beverages, where a container deposit and recycling costs are applicable, the agency is responsible for reporting sales to the BCMB.
- 4.4.5 (Deleted May 2021)
- 4.4.6 For information on how to register a container please contact the BCMB:

Beverage Container Management Board Phone: 780-424-3193 Toll Free: 1-888-424-7671 <u>bcmb.ab.ca</u> <u>registrations@bcmb.ab.ca</u> (Added Feb 2024)

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February 22, 2024



SECTION: **PRODUCT REGISTRATION** 

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4.5

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## SUBJECT: ARCHIVING AND REACTIVATING PRODUCTS

(Deleted May 2021)

For information regarding archiving and reactivating products, please see Section 3.3 of the Liquor Agency Handbook.

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**PRODUCT REGISTRATION** SECTION:

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## SUBJECT: ADVANCED SHIPPING NOTICE REGISTRATION

# POLICIES

- 4.6.1 A liquor manufacturer/agency is required to provide the Central AGLC warehouse operator with a completed advanced shipping notice. Freight carriers are required to schedule unloading at the warehouse facility. No appointments will be scheduled without a registered advanced shipping notice. Any questions regarding advanced shipping notices should be directed to the Central AGLC Authorized Warehouse. (Amended May 2021)
- 4.6.2 For manufacturers using Other Authorized Warehouses, information regarding advanced shipping notices must be covered in the terms and conditions set out in the agreement with warehouse (see Subsection 5.6.3). (Added Dec 2019)
- 4.6.3 All liquor advanced shipping notices are on a consignment basis only.
- 4.6.4 All shipments must be made to the consignee (the liquor manufacturer/agency) in care of AGLC and shipped to an AGLC liquor warehousing agent. Consignees must ensure the freight forwarder has their liquor manufacturer/agency name for third party liability (AGLC is not liable). (Amended Dec 2019)
- 4.6.5 (Deleted May 2021)
- 4.6.6 (Deleted May 2021)
- 4.6.7 (Deleted May 2021)
- 4.6.8 (Moved to 4.6.2 May 2021)

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#### SECTION: **PRODUCT REGISTRATION**

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# SUBJECT: ADVANCED SHIPPING NOTICE REGISTRATION

and conditions set out in the agreement with the warehouse (see Subsection 5.6.3). (Added Dec 2019)

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# SUBJECT: PRODUCT PRICING

## POLICIES

- The price of liquor must be the same, at any one time, for all 5.1.1 licensees regardless of the quantity purchased.
- Price changes are permitted on a weekly basis. Deadlines for the 5.1.2 weekly price quotes are 4:00 p.m. Wednesday for implementation on the Friday of the following week. If a price change deadline falls on a statutory holiday, the date of the holiday still remains the deadline date. All price submissions should include the Product Code, new invoice price and specific effective date. They must be entered through the Liquor Agency Portal or email: pricing@aglc.ca or fax: 780-447-8919.
- 5.1.3 Pricing of a product that is at "New Listing" status may be changed at any time up until the time of the initial release of the first shipment. At that time, the status is updated to "Active." After a product has been updated to "Active" status and released for sale, price changes can then be implemented (see Subsection 5.1.2).
- The liquor manufacturer/agency must ensure the price of a product's 5.1.4 single unit is equal to the price of the same product's single unit when the same product is packaged in a different case configuration, a mixed case or any such variation. If a product's single unit is contained in an enclosed package for sale to the consumer (e.g. beer/cooler packs) then the pricing per single unit may be different.
- 5.1.5 The liquor manufacturer/agency must ensure its liquor component(s) of a gift pack is listed at a wholesale price equal to or greater than the price of the liquor's base sales unit.

## **GUIDELINES**

- 5.1.6 It is the manufacturer/agency's responsibility to review AGLC's confirmation of the price change to verify its accuracy. If an error/omission is found, the manufacturer/agency must contact AGLC immediately by email: pricing@aglc.ca or by calling: 780-447-8675.
- 5.1.7 Manufacturers/agencies having submitted their changes through Liquor Agency Portal will receive an automatic confirmation through the report function on the application. During the week following the price change deadline, agencies who submitted price changes via

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# SUBJECT: PRODUCT PRICING

email or fax will receive written confirmation of the price change submission.

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### SUBJECT: **PRIVATE LABELS**

# POLICIES

- 5.2.1 Private label means a product with a label which includes any of the following:
  - a licensed premises-specific name; or a)
  - a licensed premises trademarked name; or b)
  - a statement such as "Imported/Manufactured exclusively for c) name of licensed premises.

Strip labels or stickers that bear the text above are not acceptable. (Amended Oct 2023)

- 5.2.2 Private label products that comply with Subsection 5.2 of this Handbook may exceed the allocation limit of 1,000 cases of sales per Product Code (see Section 3.10 of the Liquor Agency Handbook for more information regarding allocated products).
- 5.2.3 For new product registrations, the liquor manufacturer/agency must indicate to AGLC if the product is a private label. An example of the private label must be submitted with the registration form of the new product. All private label products must be approved by AGLC, Product & Pricing, prior to being registered.
- 5.2.4 The liquor manufacturer/agency shall ensure private label products are listed at a wholesale price equal to or greater than the lowest general listed products that the liquor manufacturer/agency has in the same category and product type. If a liquor supplier or liquor agency has only one product in a category and product type, the wholesale price must be equal to or greater than the lowest general listed product of all liquor suppliers or liquor agencies in the same category and product type.
- 5.2.5 The liquor manufacturer/agency shall ensure the lowest general listed product is available at all times (see Subsection 5.2.4). If the product is out of stock for more than a two-month period, the manufacturer/agency will be contacted and advised to comply with the private label policy.
- 5.2.6 There are no restrictions on package sizes for private labelled products other than wine, as noted in Subsection 4.3.1.

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SL	JBJECT:	PI	RIVATE LABELS		
5.	2.7		te labelled products are su ups applicable to liquor pr	• • • •	
5.	2.8	Priva	te labelled products:		
		a)	may only be sold by the label; and	premises whose nan	ne appears on the
		<b>b)</b> (Amende	cannot be sold by a Class	D licensee to other 0	Class D licensees.
5.	2.9		will not be responsible fo ny price) if it is left unalloc	•	irchase of product
5.	2.10	•	or manufacturers/agencie led liquor products are sul		•
		a)	(Deleted Jul 2021)		
		b)	any licensee wanting a p the minimum order manufacturer/agency, w liquor manufacturer/age	quantity, as set ill receive equal consi	by the liquor
		c)	private labels cannot be as an inducement to licer product at a discounted p	nsees whereby they r	-
G	UIDELIN	IES			
5.	.2.11	to al Auth	te label products are not llocate product should l orized Warehouse. For fur of the <u>Liquor Agency Hanc</u>	pe made through t ther information plea	he Central AGLC
5.	.2.12	do no a labe	om label products are not ot require a separate prod el for a wedding or corpora licensed premises. (Added Oct	uct code registration ate event or company	. A custom label is
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**PRODUCT SALES** SECTION:

NUMBER:

5.3

## MANUFACTURER HANDBOOK

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## SUBJECT: CONSIGNMENT PAYMENT

## POLICIES

- 5.3.1 Payments for consignment orders will be made weekly for all product sold the previous week. (Amended Dec 2019)
- 5.3.2 If consignment payments are returned to AGLC, the manufacturer will be notified by letter requesting proper information to allow the consignment payment to be made. If the manufacturer does not respond to the letter within the time period specified in the letter, the remaining product related to this manufacturer will be deemed abandoned and may be destroyed. The unclaimed consignment payments will be offset against any amounts owing to AGLC or the Central AGLC Authorized Warehouse (if manufacturer is using this warehouse) related to this product, with the remaining amount transferred to AGLC miscellaneous revenue one (1) year after destruction of the product, or, if there is no inventory, one (1) year after the point when product would have been deemed abandoned. (Amended Dec 2019)

Note: For manufacturers using Other Authorized Warehouses, please see Section 5.6.3. (Added Dec 2019)

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December 3, 2019



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SECTION: **PRODUCT SALES** 

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SUBJECT:		-DISTRIBUTION MENT	(NON-CONSIGNMENT)	REPORTING AND	
POLICIES					
5.4.1	buying	Liquor cannot be distributed to a liquor licensee until the licensee buying the product has paid the AGLC for the liquor, in accordance with Section 80(3) GLCA. (Added May 2021)			
5.4.2	Class A	A or D, AGLC mus moved physically,	nanufacturer (small manu t receive payment prior to and/or on paper, from th	the liquor product	
5.4.3	AGLC	Small manufacturers may distribute products that are sold through AGLC directly from the small manufacturer to other liquor licensees. (Added May 2021)			
5.4.4	It is the responsibility of the small manufacturer to produce the invoice to the liquor licensee for the liquor order. The invoice and payment shall be based on the product price in effect on the day the order is placed and this date shall be clear on the face of the invoice. (Added May 2021)				
5.4.5	•	delivery from a to the following	small manufacturer to conditions:	another licensee is	
	a) Liquor must only be delivered to a valid licensee;			nsee;	
	· ·	The delivery perso be:	on must be at least 18 yea	rs of age and either	
	i	i) the small ma	anufacturer; or		
	i	•	e small manufacturer, in e actions are the respons er.	•	
	Ī	Only liquor the lic licensee. om 3.6.4 May 2021)	quor licensee orders may	be delivered to the	
5.4.6		الا manufacturer ا licensee orders. ۸۸	may institute minimum o	rder thresholds for	
5.4.7	until i		r is responsible for loss of and accepted by the o		



MANUFACTURER HANDBOOK

SECTION: PRODUCT SALES

NUMBER: 5.4

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SUBJECT:	SELF-DISTRIBUTION (NON-CONSIGNMENT) REPORTING AND PAYMENT				
5.4.8	A licensee buying the small manufacturer's liquor product will pay AGLC the wholesale price. From the wholesale price received AGLC will:				
	a) deduct deposit fee – if applicable;				
	b) deduct recycling fee – if applicable;				
	c) deduct markup;				
	d) deduct GST; and				
	e) pay the small manufacturer the invoice price. (Moved from 5.4.2 May 2021)				
5.4.9	Payments to small manufacturers for self-distributed liquor products will be based on:				
	a) the corresponding wholesale payment being made to AGLC; and (Added Aug 2023)				
	b) the small manufacturer's reporting of the sale. (Amended Aug 2023)				
5.4.10 A small manufacturer must report all wholesale sales transa AGLC on a monthly basis. (Note: the reports may be submit frequently). These transactions include:					
	a) sales to another licensee; and				
	<ul> <li>b) sales and transfers from the small manufacturer to other commonly owned Class A or D licensed premises.</li> <li>(Added Aug 2023)</li> </ul>				
5.4.11	A small manufacturer that does not have monthly sales shall notify AGLC at: <u>liquorservices@aglc.ca</u> . (Added Aug 2023)				
5.4.12	Sales reporting and payments are subject to review and audit by AGLC. (Added Aug 2023)				
DATE ISSUE	Original signed by DATE ISSUED: August 10, 2023 AUTHORITY: Len Rhodes				



NUMBER:

5.5

# MANUFACTURER HANDBOOK

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# SUBJECT: INSURANCE

(Deleted May 2021)

For information regarding insurance, please see Section 1.7 of the Liquor Warehouse Handbook.

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# MANUFACTURER HANDBOOK

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SUBJECT:	W	AREHOUSING & WAREHOUSE CHARGES
POLICIES		
5.6.1	A Ma	anufacturer may deliver:
	a)	product directly to another licensee in accordance with Section 5.4; or (Amended May 2021)
	b)	product to an AGLC Authorized Warehouse for distribution per Subsections 5.6.2 and 5.6.3 below. (added Dec 2019)
5.6.2	Auth	ensee that distributes liquor products through the Central AGLC orized Warehouse must adhere to the Sections of this Handbook refer to CENTRAL AGLC AUTHORIZED WAREHOUSE. (Added Dec 2019)
5.6.3	Auth	ensee that distributes domestic beer through any other AGLC orized Warehouse agrees to the terms and conditions set out in greement with the warehouse which should include:
	a)	warehousing charges;
	b)	timelines to process advanced shipping notices;
	c)	product returns and recalls; and
	d)	details and costs of any other services provided.
		: The Sections of this Handbook that refer to CENTRAL AGLC HORIZED WAREHOUSE do not apply. (Added Dec 2019)
5.6.4	beco AGLC upon	censee and the Warehouser indicated in Subsection 5.6.3 above me involved in a dispute resolving the terms of the agreement, C will not become involved in resolving the dispute. AGLC will rely a direction agreed to by the parties involved or by court order.
5.6.5	Subs	agreement between the licensee and Warehouse indicated in ection 5.6.3 above is subject to review by AGLC, upon request.
CENTRAL	AGLC	AUTHORIZED WAREHOUSE (Added Sept 2019)
5.6.6	Auth Hand Surch incur	warehousing charges are determined by the Central AGLC orized Warehouse as indicated in the CLS Liquor Agency lbook <u>(link)</u> . The Non-Registered Advanced Shipping Notice marge and the Non-Registered Product Listing Surcharge will be red when a liquor manufacturer/agency does not follow the edures referred to in Subsections 4.1.1 and 4.1.3 of this

DATE ISSUED:

May 20, 2021

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# MANUFACTURER HANDBOOK

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SUBJECT:	WAREHO	DUSING & WAREH	OUSE CHARGES	
		ated consignment	-	tically deducted from ee Subsection 5.3.2).
5.6.7	Authorized for the first years and h	Warehouse not or time, but also to p have since been re	nly the products rec roducts which had -activated. If the p	I by the Central AGLC reived in the province been inactive for two roduct is re-activated e is waived. (Amended Dec
5.6.8	net of ware or exceed pay the out	house charges. If t warehouse charges	he consignment pa s, the liquor manuf	ufacturer/agency are ayments do not equal facturer/agency must atral AGLC Authorized
5.6.9	warehouse outstanding notification	charges. If a lic g warehouse charg letter, the liqu	uor manufacture es within the time p lor manufacturer,	er in any outstanding does not pay the period specified in the /agency's remaining may be destroyed.
5.6.10	Receiving F received, to warehousin	Reports and Receiv o a valid fax numbeing charge details with	ing Surcharges the r or email address i ill accompany the c	ill fax or email the e night the product is t has on file. All other onsignment payment n week. (Amended Dec 2019)
OTHER AU	JTHORIZED	WAREHOUSES (Adde	ed Dec 2019)	
5.6.11	regarding conditions	warehousing char	ges must be cov	ehouses, information vered in terms and the warehouse (see
				Original signed by
<b>DATE ISSU</b>	ED:	May 20, 2021	AUTHORITY:	Len Rhodes



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# MANUFACTURER HANDBOOK

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## SUBJECT: PRODUCT RETURNS AND RECALLS

# POLICIES

5.7.1 Product Returns are allowed for the following reasons:

- recalled product (either issued by the Canadian Food Inspection a) Agency, AGLC or the liquor manufacturer/agency);
- stale-dated product (Note: Stale-dated product refers to a b) product that has surpassed a "best before" date or "stale-date" as indicated on the product label and/or container. Stale-dated does not refer to older/out-dated vintages of wine); or
- faulty product (see Section 5.8). (Amended May 2021) c)
- 5.7.2 Return of inventory for reasons other than outlined in Subsection 5.7.1 are not allowed. (Amended May 2021)

## **GUIDELINES**

5.7.3 The manufacturer/agency is responsible for approving all product returns from licensees. If the liquor manufacturer/agency approves a return, the authorization must specify which party (either the liquor manufacturer/agency or the licensee) will be responsible for the freight and re-stocking charges, if applicable. (Amended May 2021)

- 5.7.4 (Deleted May 2021)
- 5.7.5 (Deleted May 2021)
- 5.7.6 (Deleted May 2021)

## **Product Recalls**

- 5.7.7 A liquor manufacturer/agency may voluntarily recall a product. Before the product is recalled the manufacturer/agency will:
  - advise AGLC of the recall and the reason for the product recall; a)
  - arrange for the product to be returned and destroyed; and b) (Amended May 2021)
  - advise licensees of the procedures to follow for returning the c) product.

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# MANUFACTURER HANDBOOK

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# SUBJECT: REFUNDS FOR FAULTY PRODUCT

# POLICIES

- 5.8.1 Licensees that purchase products directly from AGLC through the Central AGLC Authorized Warehouse may request a refund from AGLC for faulty product. Faulty product includes:
  - a) a sealed bottle(s) which:
    - i) is partially filled;
    - ii) has a damaged cap or cork; or
    - iii) is contaminated with a foreign material; and
  - b) bottle(s) that are missing from a sealed case, with no imprint in the case; and
  - c) faulty products included in a) or b) above and returned due to a customer complaint.

(Amended July 2023)

- 5.8.2 AGLC validates all faulty product claims.
- 5.8.3 Faulty product claims will be automatically deducted from consignment payments based on the product's duty paid price (invoice price plus any applicable customs duty/excise duty).
- 5.8.4 A claim for a refund must be received by AGLC within 30 days of product delivery, except for products returned by customers. Customer returns may be refunded up to one (1) year from the date of invoice. A return receipt is required for a faulty product return from a customer. If a product has been discontinued AGLC may decline to provide a refund. (Amended July 2023)
- 5.8.5 Requests for refunds beyond 30 days, excluding product returned by customers, will be allowed if the claim request includes written approval from the liquor manufacturer/agency.
- 5.8.6 Faulty bottles, and cases that are missing bottles, must be kept by the licensee until AGLC approves disposition.
- 5.8.7 Faulty products approved for credit by AGLC must immediately be destroyed. The product must not be made available for resale.
- 5.8.8 A licensee is authorized to destroy faulty liquor products under the following conditions:

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# MANUFACTURER HANDBOOK

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SUBJECT	: R	EFUNDS FOR FAULTY PR	ODUCT	
	a)	the faulty product claim written consent to dest		•
	b)	the product destruction licensee on the licensed one witness; and	• •	
	C) (Added	the product and destru recording and retained July 2023)	•	•
5.8.9		nd amounts are based or see to AGLC.	the original wholesal	e price paid by the
5.8.10	fault	nsees purchasing product y product claims to the in n with AGLC for those pro	etailer. The retailer n	nay then initiate a
5.8.11		ty kegs returned to a war ast 80% full by weight are		
5.8.12	(Deleted	1 July 2023)		
5.8.13	(Deleted	l Dec. 2020)		
5.8.14	When AGLC issues a product recall, the licensee must immediately suspend sales of these products and remove them from store shelves. (Amended Dec. 2020)			
5.8.15	Licensees must deal with the recalled product as directed by AGLC, which may include returning it to the warehouse for a full refund (see Subsections 5.7.3 – 5.7.7).		•	
GUIDELI	NES			
5.8.16	To make a claim for refund, a licensee must complete a Faulty Product Claim Request form. The form can be found at aglc.ca. Completed forms must be sent to <u>FaultyProduct@aglc.ca</u> .			
5.8.17	(Deleted	l Dec. 2020)		
5.8.18	(Deleted	l Dec. 2020)		
5.8.19	clain the l AGL	nd claims are normally pr n, even if not validated by icensee must keep all bc C approves disposition. S rwise ineligible, the licens	AGLC within that time ottles and cases listed hould any of the proc	eframe. However, on the form until duct be missing or
DATE ISS	UED:	July 6, 2023	AUTHORITY:	Original signed by Len Rhodes



SECTION: PRODUCT SALES

NUMBER: 5.8

## MANUFACTURER HANDBOOK

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SUBJECT	: REFUNDS FOR FAULTY PRO	DDUCT	
5.8.20	Product analysis by AGLC for f	aulty product claims ma	ay be required.
5.8.21	If a product listed on a Faulty refund, AGLC will advise the lie	•	-
5.8.22	Dry breaks (no product spille Product Claim Request for automatically processed each the previous year. Payment is allowed in the Operating Guid Compensation for less than licensees will not be issued. (An	<ul> <li>M. Compensation for year, based on the lice s made to each license elines (0.005% of whole \$5 and compensation</li> </ul>	dry breaks is nsee's purchases e to a maximum esale purchases).
Products	Causing Illness		
5.8.23	Customer allegations of injury must be reported immedia Department:	-	
	Alberta Gaming, Liquor and Ca 50 Corriveau Avenue St. Albert, Alberta T8N 3T5 Attention: Product and Pricing Telephone: 780-447-8832 Email: <u>Product@aglc.ca</u>		
			Original signed by
DATE ISS	JED: July 6, 2023	AUTHORITY:	Len Rhodes



# SECTION: PREMISES MANAGEMENT -MANUFACTURING FACILITY

NUMBER:

6.1

### MANUFACTURER HANDBOOK

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### SUBJECT: STORAGE

### POLICIES

- 6.1.1 Licensees may only store liquor products that: (Amended May 2021)
  - a) the licensee manufactures;
  - b) the licensee manufactures in collaboration with another Class E licensee (see Subsection 6.2.2); or
  - c) have been manufactured for another Class E licensee or the licensee manufactures for a registered agency through a contract (see Subsection 6.2.3). (Amended Oct 2020)
- 6.1.2 Licensees may also store liquor products for blending, flavoring and packaging purposes (see Section 3.1). (Amended Oct 2020)
- 6.1.3 (Deleted Oct 2020)
- 6.1.4 (Deleted Oct 2020)
- 6.1.5 (Deleted Oct 2020)
- 6.1.6 (Deleted Oct 2020)
- 6.1.7 (Deleted Oct 2020)
- 6.1.8 (Deleted Oct 2020)
- 6.1.9 Licensees may apply for an extension of their licence to include additional locations for the purposes of storing liquor products as outlined in 6.1.1 & 6.1.2 above and aging. (Amended Jun 2022)
- 6.1.10 As per section 14(1) GLCR, the separate storage facility must be under the legal care and control of the licensee and is subject to existing storage and distribution policies (see Section 5.4 and Section 5.6). (Amended Jun 2022)

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## **PREMISES MANAGEMENT -**

MANUFACTURING FACILITY

NUMBER:

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### MANUFACTURER HANDBOOK

## SUBJECT: COLLABORATION & CONTRACT MANUFACTURING

## POLICIES

- 6.2.1 For the purposes of this section, the following definitions apply:
  - manufacturing" "collaboration means a) two or more manufacturers work together to produce liquor at a single AGLC licensed Class E manufacturing facility;
  - "contract manufacturing" means a contract exists between a b) manufacturer or an AGLC registered agency and another manufacturer, to manufacture liquor;
  - "contractee" means the entity that enters into a contract with c) a manufacturer that produces the liquor;
  - d) "contractor" means the manufacturer that produces the liquor;
  - "manufacturer" means an AGLC licensed Class E Small e) Manufacturer or Manufacturer; and (Amended May 2021)
  - "manufacturing facility" means the facility that an AGLC f) licensed Class E Small Manufacturer or Manufacturer operates and has legal control of, for the purpose of manufacturing liquor. (Amended May 2021)

## **Collaboration Manufacturing**

- 6.2.2 Collaboration manufacturing must meet the following requirements:
  - all participants must be licensed manufacturers. The a) collaboration may start upon licensing and may involve different types of liquor manufacturers (e.g, a beer manufacturer may collaborate with a distillery). (Amended Mar 2020)

Note: Entities registered solely as liquor agencies are not eligible for collaboration manufacturing;

- b) the manufacturing must take place at a licensed Class E manufacturing facility where the host manufacturer operates and has legal control of the manufacturing facility and equipment;
- c) a manufacturing representative of the host manufacturer must be present at all times during the collaboration process;

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**PREMISES MANAGEMENT -**MANUFACTURING FACILITY

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### MANUFACTURER HANDBOOK

## SUBJECT: COLLABORATION & CONTRACT MANUFACTURING

- the host manufacturer and all participating manufacturers d) must report the total collaboration production volume to AGLC and include it in their AWP;
- the product must be registered with AGLC, separately, by each e) manufacturer that will be selling the product. Manufacturers must register the product under the same name, and must include their own brewery, winery, distillery or cidery name in brackets at the end of the product name; (Amended Dec 2018)
- distribution of collaboration liquor products must meet the f) following requirements:
  - i) the resultant product may be sold by all manufacturers involved in the collaboration;
  - the product may be sold in the manufacturers' related ii) Class A premises as long as no more than four collaboration products manufactured at a different licensed Class E manufacturing facility are offered, at one time;

Note: liquor that is contract brewed at another Class E manufacturing facility and then transferred back to the contractee's premises does not count toward this number;

- iii) the product may be sold in the manufacturers' related Class D premises; and
- g) (Deleted May 2021)

### **Contract Manufacturing**

- 6.2.3 Contract manufacturing must meet the following requirements:
  - the contractee must be licensed as a manufacturer or a) registered as a liquor agency, with AGLC.;
  - the contractor must have a Class E Manufacturer or Small b) Manufacturer licence issued by AGLC; (Amended May 2021)
  - c) contract manufacturing may start upon licensing for manufacturers and registration for agencies; (Added Mar 2020)
  - the manufacturing must take place at the contractor's licensed d) Class E manufacturing facility where the contractor operates

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**PREMISES MANAGEMENT -**

MANUFACTURING FACILITY

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### MANUFACTURER HANDBOOK

## SUBJECT: COLLABORATION & CONTRACT MANUFACTURING and has legal control of the manufacturing facility and equipment; the manufacturer may operate solely as a contractor without e) the need to manufacture their own products; (Added Mar 2020) f) a manufacturing representative of the contractor must be present at all times during the manufacturing process; if contracted spirits require aging: g) i) and the contractee is another manufacturer, the product may be aged at either the contractee or contractor's manufacturing facility; or ii) If the contractee is a registered agency, the product must be aged at the contractor's licensed Class E manufacturing facility; (Note deleted May 2021) h) the contractee must report the total contracted production volume to AGLC and include it in their AWP; (Amended Nov 2022) the product must be registered with AGLC, by the contractee, i) unless otherwise stated in j) & k) below; (Amended May 2021) when the contractee is another Class E Manufacturer: (Amended May j) 2021) i) the resultant liquor may be returned to the contractee's facility and self-distributed by the contractee; (Added May 2021) ii) the resultant liquor may be distributed through an AGLC Authorized Warehouse; or (Added May 2021) iii) the resultant liquor may be distributed by the contractor provided the following are met: (Added May 2021) - the contractor registers the product with AGLC; - the contractor has no claim to market the product; and a contract agreement exists indicating the contractee owns the product. k) when the contractee is a registered agency, Subsections 6.2.3j) ii) — iii) apply. (Amended May 2021)

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## **PREMISES MANAGEMENT -**

MANUFACTURING FACILITY

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## MANUFACTURER HANDBOOK

### SUBJECT: COLLABORATION & CONTRACT MANUFACTURING

- (Deleted May 2021)
- m) any disputes between the contractor and contractee must be resolved between the two parties. AGLC is held harmless from any disputes that may arise

### Notes:

- 1) (Deleted May 2021)
- 2) (Deleted Mar 2020)

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### MANUFACTURER HANDBOOK

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## SUBJECT: HOURS OF LIQUOR SALES & CONSUMPTION

POLICIES	

7.1.1 The maximum hours of liquor sales or service are as follows: (Amended Dec 2020)

### **Class A Licence**

a) Minors Allowed, Minors Prohibited, Taproom and Lounge licences 9:00 a.m. - 2:00 a.m.

### **Class D Licence**

a) Retail liquor store licence	9:00 a.m 2:00 a.m.
b) Manufacturer's Off Sales licence	9:00 a.m 2:00 a.m.
c) Delivery service licence	9:00 a.m. – 2:00 a.m., however delivery may continue for 30 minutes after closing.

- 7.1.2 Where on-premises consumption is authorized, liquor consumption may continue for a maximum of one (1) hour after liquor service ends. All liquor must be cleared from patron areas by 3:00 a.m.
- 7.1.3 All patrons must leave a Class A Minors Prohibited, Class A Taproom and Class A Lounge licensed premises at the end of the one (1) hour consumption period. The only persons allowed to remain are the licensee's spouse and staff, and workers maintaining or making repairs to the licensed premises.
- 7.1.4 Subsection 7.1.3 applies equally to a Class A Minors Allowed licensed premises with a condition on the licence prohibiting minors during evening hours. The premises may not reopen to patrons for food service before 6 a.m. the next business day.
- 7.1.5 Class A Minors Prohibited, Class A Taproom and Class A Lounge licensed premises must be closed:
  - a) (Deleted Dec 2019)
  - b) all times other than the hours endorsed for liquor service and consumption on the licence.



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### MANUFACTURER HANDBOOK

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### SUBJECT: HOURS OF LIQUOR SALES & CONSUMPTION

- 7.1.6 A Class D licensed premises must be closed:
  - a) (Deleted Dec 2019)
  - b) all times other than the hours endorsed for liquor sales on the licence, unless the premises is also a Class A licensed premises.
- 7.1.7 On the date Daylight Savings Time takes effect or ends, liquor sales or service must end before clocks are adjusted and may not re-start until the next business day.
- 7.1.8 Maximum hours of liquor sales or service are the same under a licence and a licence extension, unless otherwise specified by the Board.
- 7.1.9 (Deleted Nov 2022)

### GUIDELINES

7.1.10 "Last call" must be timed to ensure patrons who order liquor are served within the hours of liquor service specified on the licence.

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## MANUFACTURER HANDBOOK

## SUBJECT: LIQUOR PRICING

## POLICIES

- 7.2.1 For on-premises consumption, the amount of liquor in a drink (i.e., # of ounces or millilitres) and the price must be specified on a menu or price list.
- 7.2.2 Licensees may set their own liquor prices for on-premises consumption, subject to the minimum prices specified below. A licensee is authorized to set their own prices for off sales as well, however sales to licensees must be at the registered wholesale price. (Amended Dec 2020)
- 7.2.3 Class A licensees, may not sell, or offer to sell, for on-premises consumption, liquor at less than the following minimum prices: (Amended May 2021)

Spirits and liqueurs: \$2.75 / 28.5 ml (1 oz) or less;

Wine: \$0.35 / 28.5 ml (1 oz);

\$0.16 / 28.5 ml (1 oz); and Draught beer:

Bottled/Canned Beer,

Coolers or Cider: \$2.75 /341 ml bottle or 355 ml can.

Note: GST is not included in the above prices.

- 7.2.4 If a serving of spirits or liqueurs exceeds 28.5 ml, including mixed drinks offered for off premises consumption, the minimum price of the drink must be based on the single serving menu price and increase in direct proportion to the volume served based on the minimum price of \$2.75. For example, if a single serve drink has a menu price of \$5.00, the following minimum prices apply to similar drinks containing more than 28.5 ml of spirits or liqueurs: (Amended Dec 2020)
  - drinks containing 43 ml (1.5 oz) of spirits or liqueurs the a) minimum price required is 6.37 (5.00 + 1.37);
  - b) drinks containing 57 ml (2 oz) of spirits or liqueurs – the minimum price required is \$7.75 (\$5.00 + \$2.75); and
  - drinks containing 85.5 ml (3 oz) of spirits or liqueurs the c) minimum price required is \$10.50 (\$5.00 + \$2.75 + \$2.75).
- 7.2.5 A Class A licensee is not allowed to offer:
  - a) free liquor specials;

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### MANUFACTURER HANDBOOK

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## SUBJECT: LIQUOR PRICING

- more than one (1) drink for a single price (e.g., "2 for 1" b) specials, or "triples for the price of a single"); and
  - "all you can drink" specials for a fixed cost. c)
- 7.2.6 "Buckets of Beer and/or Refreshment Beverages" pricing is permitted as long as the full menu price is charged for the first drink and a minimum of \$2.75 is charged for each subsequent drink. For example if the menu price of a beer is \$5.00 and a bucket contains three beers, the minimum total price of the bucket will be \$10.50 (\$5.00 + \$2.75 + \$2.75). (Amended Dec 2020)
- 7.2.7 Liquor sale activities must not promote intoxication.
- 7.2.8 Gift certificates may only be redeemed for liquor if they are purchased at face value (for example, in order to redeem a \$10 gift certificate for liquor, the gift certificate must be purchased for at least \$10).
- 7.2.9 (Deleted Dec 2020)
- 7.2.10 Food specials must not be dependent on liquor purchases by patrons.
- 7.2.11 Food items, along with a selection of non-alcoholic beverages, must be listed on a printed menu or on a menu display board, with each item individually priced.

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#### SUBJECT: LIQUOR SERVICE

(Deleted May 2021)

For information regarding liquor service for on-premises consumption, please see Section 5.3 of the Licensee Handbook.

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### SUBJECT: MINORS

### POLICIES

- 7.4.1 It is an offence under the GLCA to sell or provide liquor to anyone under 18 years of age. Management and staff of a licensed premises are responsible to ensure liquor is not sold or provided to minors.
- No minor may enter a Class A Minors Prohibited licensed premises or 7.4.2 any other licensed premises where minors are prohibited, except as specified in Subsections 7.4.11 and 7.4.12.
- No minor may enter a manufacturer's off sales outlet unless 7.4.3 accompanied by a parent, guardian or spouse who is an adult and who is in the licensed premises to purchase liquor for off-premises consumption. (Amended Dec. 2020)
- 7.4.4 No minor may enter a Class E licensed premises unless accompanied by a parent, guardian or spouse who is an adult and who is in the licensed premises to purchase liquor for off-premises consumption and/or tours.
- 7.4.5 No minor may enter a licensed premises where nude entertainment is being performed.
- No minor may work as staff in a Class A Minors Prohibited licensed 7.4.6 premises, a manufacturer's off sales room, or any other premises where a "minors prohibited" condition has been imposed on the licence. (Amended Dec. 2020)
- 7.4.7 No minor may be employed in a Class E licensed premises.
- Licensee staff are required to obtain valid identification and verify 7.4.8 proof of age whenever a person who appears to be under 25 years of age attempts to buy liquor or to enter a licensed premises where minors are prohibited. If unsatisfied that a person is at least 18 years of age, licensee staff must refuse entry or ask the person to leave.
- 7.4.9 For the purposes of Subsection 7.4.9, valid primary identification must:
  - a) have a photo;
  - b) have a name;
  - c) be Government issued;
  - d) include date of birth;
  - not be expired; e)

			onginal signed by
DATE ISSUED:	December 21, 2020	AUTHORITY:	Len Rhodes



### MANUFACTURER HANDBOOK

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### SUBJECT: MINORS

f) have a unique identifier number; and

g) be an original (not a copy).

- (Added Nov 2019)
- 7.4.10 If the identification appears not to be genuine, licensee staff must request a second piece of identification. Valid secondary identification must:
  - a) have a name;
  - b) be Government issued;
  - c) have a unique identifier number; and

d) include date of birth. (Added Nov 2019)

- 7.4.11 A minor child or spouse of a Class A Minors Prohibited licensee or manager may enter and remain on the licensed premises during hours of no liquor service.
- 7.4.12 Under the supervision of the licensee, a minor may enter a Class A Minors Prohibited licensed premises to repair or service equipment or furnishings and remain on the premises for the time required to complete the service.
- 7.4.13 A Class A Minors Prohibited licensee, or other licensee of the premises where minors are prohibited, wishing to employ a minor as an entertainer must seek the approval of AGLC prior to contracting the individual in question. The minor cannot be employed as a nude entertainer.

### **GUIDELINES**

- 7.4.14 A condition prohibiting minors may be imposed on a Class A, B or C licensee when no minors may enter or remain on the licensed premises.
- 7.4.15 A Class A Minors Prohibited licensee may apply to AGLC in writing for permission to allow minors onto the licensed premises during a familyoriented occasion (e.g., Christmas Day, Easter Sunday, Mother's Day, Father's Day, etc.). The request will be considered only if the premises will be operated for family dining.

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7.4

### MANUFACTURER HANDBOOK

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### SUBJECT: MINORS

- A Class A Minors Prohibited licensee may request temporary 7.4.16 suspension of the licence (i.e., no liquor service will be permitted) to allow minors onto the premises for an unlicensed event.
  - a) A suspension may be granted if:
    - i) the entertainment will end no later than 12 a.m. (midnight) and all minors will be off the premises by 12:30 a.m.; and
    - ii) police, fire, municipal, health and related authorities have no objections.
  - b) A suspension will not be granted if the premises have video lottery terminals (VLTs).
- 7.4.17 A Class A Minors Prohibited licensee may request a licence endorsement or permission for a single occasion to allow minors onto the premises for food service between the hours of 6 a.m. and 9 a.m. If approved, it is the responsibility of the licensee to ensure no minors remain on the premises after 9 a.m. (Amended Dec. 2020)
- 7.4.18 Identification should be carefully examined under good lighting and/or a black light should be used to ensure the:
  - photograph is a true likeness and has not been substituted; a)
  - b) the plastic laminate has not been tampered with; and
  - c) the lettering of the name, date of birth and other data have not been altered (lettering that has been altered will show up under a black light).
- 7.4.19 Licensee staff may telephone police whenever a minor attempts to purchase liquor, is found consuming liquor or is found in a licensed premises where minors are prohibited. (Amended Dec 2020)

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December 21, 2020

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7.5

MANUFACTURER HANDBOOK

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## SUBJECT: SUPERVISION OF PATRONS

(Deleted May 2021)

For information regarding supervision of patrons, please see Section 5.6 of the Licensee Handbook.

			Original signed by
DATE ISSUED:	May 20, 2021	AUTHORITY:	Len Rhodes



NUMBER: 7.6

## MANUFACTURER HANDBOOK

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### SUBJECT: COLLECTION OF PERSONAL INFORMATION

(Deleted May 2021)

For information regarding collection of personal information, please see Section 5.7 of the Licensee Handbook.

			Original signed by
DATE ISSUED:	May 20, 2021	AUTHORITY:	Len Rhodes



### **PREMISES MANAGEMENT - CLASS A** SECTION: LICENCES

NUMBER:

7.7

MANUFACTURER HANDBOOK

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### SUBJECT: INTOXICATED PERSONS

(Deleted May 2021)

For information regarding intoxicated persons, please see Section 5.8 of the Licensee Handbook.

			Original signed by
DATE ISSUED:	May 20, 2021	AUTHORITY:	Len Rhodes



NUMBER:

7.8

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# MANUFACTURER HANDBOOK

## SUBJECT: ILLEGAL DRUGS

(Deleted May 2021)

For information regarding illegal drugs, please see Section 5.9 of the Licensee Handbook.

			Original signed by
DATE ISSUED:	May 20, 2021	AUTHORITY:	Len Rhodes



NUMBER:

7.9

MANUFACTURER HANDBOOK

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## SUBJECT: GENERAL ENTERTAINMENT, GAMES AND DANCING

(Deleted May 2021)

For information regarding general entertainment, games and dancing, please see Section 5.10 of the Licensee Handbook.

			Original signed by
DATE ISSUED:	May 20, 2021	AUTHORITY:	Len Rhodes



7.10

### MANUFACTURER HANDBOOK

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### SUBJECT: SEPARATION OF A LICENSED PREMISES

### POLICIES

- Class A Minors Prohibited premises must be enclosed with full height 7.10.1 solid walls, unless otherwise approved by AGLC. "Full height wall" means a wall at least 2.44 metres [eight (8) feet] high, normally floor to ceiling.
- 7.10.2 All licensed premises must be suitably defined by a permanent or portable barrier such as planters, ropes, railings or similar items, unless otherwise approved by AGLC.
- 7.10.3 The physical separation between the manufacturing area and a Class A licensed area in the same premises must be suitably defined by a permanent barrier, of minimum one metre, such as a half wall, railing, or similar items, unless other approved by AGLC.
- 7.10.4 The physical separation between a licensed premises and another licensed or unlicensed area must be suitably defined by a permanent or portable barrier such as planters, ropes, railings or similar items, unless otherwise approved by AGLC.
- 7.10.5 A wall separating two (2) licensed premises may have a single opening for access to the other licensed premises if both premises are operated by the same licensee.
- 7.10.6 Full height solid walls are required for any premises providing nude entertainment. Nude entertainment must not be visible from outside the premises.



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7.11

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## MANUFACTURER HANDBOOK

#### SUBJECT: **OCCUPANT LOAD**

(Deleted Dec. 2020)

Please consult with your local municipality on information regarding occupant loads.

DATE ISSUED:

December 14, 2020

AUTHORITY:

Original signed by Len Rhodes



NUMBER:

7.12

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## SUBJECT: STRUCTURAL CHANGES

(Deleted May 2021)

For information regarding structural changes to a Class A premises, please see Section 5.14 of the Licensee Handbook.

			Original signed by
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## SECTION: PREMISES MANAGEMENT - CLASS A LICENCES NUMBER: 7.13

MANUFACTURER HANDBOOK

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## SUBJECT: PATIO EXTENSION

(Deleted May 2021)

For information regarding patio extensions, please see Section 4.2 of the Licensee Handbook.

			Original signed by
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## SECTION: PREMISES MANAGEMENT - CLASS A LICENCES NUMBER: 7.14

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### SUBJECT: CATERER'S EXTENSION

(Deleted May 2021)

For information regarding a caterer's extension, please see Section 4.3 of the Licensee Handbook.

				Original signed by
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## MANUFACTURER HANDBOOK

## SUBJECT: FOOD SERVICE

(Deleted May 2021)

For information regarding food service for a Class A premises, please see Section 5.4 of the Licensee Handbook.

			Original signed by
DATE ISSUED:	May 20, 2021	AUTHORITY:	Len Rhodes



NUMBER: 8.1

### MANUFACTURER HANDBOOK

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Original signed by

### SUBJECT: GENERAL INFORMATION

### POLICIES

- 8.1.1 For all of Section 8:
  - a) "advertising" means the use of media to communicate a message to an audience through words, audio and/or visuals. It is communicated through various mass media, including but not limited to:
    - traditional media such as television, radio, newspapers, magazines, outdoor advertising, flyers, billboards, transit shelters, inflatables, commercial and corporate vehicles or direct mail; and
    - new media, including but not limited to digital and social media advertising, organic social media posts on newsfeeds and/or profiles, email, search results, blogs, websites or short message service (SMS); (Amended Aug 2019)
  - b) "liquor agency" includes all associated shareholders, directors, management, agents and employees of liquor manufacturers/agencies and suppliers; and
  - c) "records" are electronic or paper documents that summarize a transaction and include the documents to support these transactions. These documents include, but are not limited to, financial statements, invoices, receipts, vouchers, contracts, cancelled cheques, credit card receipts and emails.
- 8.1.2 Only those businesses and/or persons with a Class E Small Manufacturer's licence or Manufacturer's licence or who are registered as a liquor agency with AGLC may conduct marketing, merchandising, and promotional activities of liquor in Alberta. (Amended May 2021)
- 8.1.3 A liquor manufacturer/agency, a licensee or a third party acting on their behalf (i.e., marketing company) may advertise in any medium not specifically prohibited, as long as the advertising complies with these policies, the Canadian Radio-television and Telecommunications Commission (CRTC) and any other regulator with jurisdiction.
- 8.1.4 All advertising must be:
  - a) accurate and verifiable; and

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## MANUFACTURER HANDBOOK

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SUBJEC	T: GENERAL INFORMATION		
	b) within the limits of good taste and propriety (i.e., not offensive to the general population).		
8.1.5	Advertising must not:		
	a) (Deleted Nov 2022)		
	b) encourage non-drinkers to consume liquor;		
	c) promote irresponsible liquor consumption or service;		
	d) show heavy or prolonged liquor consumption;		
	e) give the impression liquor benefits a person's health; and		
	f) disparage (put down) another company, business or product.		
8.1.6	Minors:		
	<ul> <li>Advertising must not appeal to minors or be placed in any medium targeted specifically at minors.</li> </ul>		
	b) No minor or anyone who may reasonably be mistaken for a minor may appear in advertising for a liquor product.		
	C) (Deleted Nov 2022)		
	d) The use or imitation of children's fairy tales, jingles, nursery rhymes, songs, musical themes or fictional characters from children's books is prohibited in liquor advertising		
8.1.7 A product endorsement by well-known personality or loo should not imply that drinking liquor contributed to their succ			
8.1.8	Brand advertising is allowed by a liquor supplier or a manufacturer' off-sales licensee. Brand advertising by Class A licensees must compl with Subsection 8.2.3 and brand advertising by Class D licensees mus comply with Subsection 8.3.3.		
8.1.9	Co-operative advertising (advertising by licensees that includes the specific mention of liquor manufacturers/suppliers/agencies), is permitted under the following conditions:		
	a) the licensee must pay all costs pertaining to the advertising; and		
	<ul> <li>all records for advertising must be kept by the licensee for a period of two years and provided to AGLC on request.</li> </ul>		
DATE IS	Original signed b SUED: November 29, 2022 AUTHORITY: Len Rhodes		



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### MANUFACTURER HANDBOOK

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### SUBJECT: GENERAL INFORMATION

- 8.1.10 A liquor manufacturer/agency is not allowed to pay any advertising costs for a licensee, either directly or indirectly.
- 8.1.11 (Deleted Jul 2022)
- 8.1.12 (Deleted Dec 2020)

### **GUIDELINES**

- 8.1.13 Advertising which promotes the responsible consumption of liquor (i.e., legal, moderate and safe) is highly recommended and supported by AGLC.
- 8.1.14 (Deleted Dec 2020)
- 8.1.15 References to brand advertising do not apply to Special Event licensees.
- 8.1.16 Corporate or brand identification may be used in public service or community advertising.
- 8.1.17 (Deleted Dec 2020)
- 8.1.18 A licensee and a manufacturer of non-liquor products may advertise jointly, as long as the advertising complies with these policies.

			Original signed by
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NUMBER: 8.2

## MANUFACTURER HANDBOOK

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## SUBJECT: ADVERTISING BY CLASS A LICENSEES

### POLICIES

- 8.2.1 A licensee may advertise the name of the licensed premises and the services offered according to the type of licence(s) held.
- 8.2.2 Brand advertising is acceptable, with the following conditions:
  - a) the licensee must receive permission in advance from the liquor manufacturer/agency (or whoever owns/controls the brand identification) to use the brand logo, typeset or trademark; and
  - b) the licensee is prohibited from receiving or requesting any benefit (money or other) from a liquor manufacturer/agency for advertising its brands.
- 8.2.3 A licensee may advertise liquor at reduced or discounted prices as long as the prices are not below the minimum prices specified in Sections 7.2.3 and 7.2.4.
- 8.2.4 Advertising for packages which include liquor in the price (e.g., Champagne Brunch, Mother's Day special, New Year's Eve special) are allowed, as long as the ad specifies the amount of liquor to be provided and it complies with the minimum drink prices specified in Subsections 7.2.3 and 7.2.4.

### GUIDELINES

- 8.2.5 A licensee may use a television remote unit to broadcast live entertainment from its licensed premises as long as patrons are aware they may be televised.
- 8.2.6 (Deleted Dec. 2020)
- 8.2.7 A licensee may use a radio remote unit to broadcast live music from its licensed premises and promote the premises' name and location (or a specific room within the premises).



NUMBER:

8.3

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## MANUFACTURER HANDBOOK

#### SUBJECT: **ADVERTISING BY CLASS D LICENSEES**

(Deleted Dec 2020)

For information regarding advertising by Class D licensees, please see Section 6.2 of the Retail Liquor Store Handbook.

	ISSUED:
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NUMBER: 8.4

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## MANUFACTURER HANDBOOK

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### SUBJECT: ADVERTISING CONTENT RESTRICTIONS

(Deleted Dec 2020)

For information regarding advertising content restrictions, please see Section 8.1 of the Manufacturer Handbook.

				Original signed by
DA	TE ISSUED:	December 14, 2020	AUTHORITY:	Len Rhodes
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NUMBER: 8.5

## MANUFACTURER HANDBOOK

SUBJECT: EVENT/TEAM AGREEMENTS & SPONSORSHIPS

## POLICIES

### **EVENT/TEAM AGREEMENTS**

- 8.5.1 (Deleted Mar 2024)
- 8.5.2 Event/Team Agreement ("EA") means a document establishing the terms and conditions under which a licensee agrees to the use of an agency's or supplier's products during a specified event or at a specified venue. (Amended Mar 2024)
- 8.5.3 (Deleted Mar 2024)
- 8.5.4 EAs apply to the licensed area(s) only.
- 8.5.5 EAs are permitted for the following types of events which are open to the general public and where the primary purpose of public attendance is not for the consumption of liquor: (Amended Jul 2021)
  - a) community-based entertainment (community-based means it is available to a significant segment of the community); or
  - b) professional or semi-professional sports teams or sporting events; or
  - c) a broad-based cultural event (broad-based means representative of the larger community).
- 8.5.6 Eligible locations for these event venues are: (Amended Jul 2021)
  - a) convention centres;
  - b) ski hills;
  - c) the home arena or sports stadium of the team, or the venue where the professional or semi-professional sporting event takes place;
  - d) municipally approved public areas with the licensed area located within a tent or fenced area; or
  - e) other locations with a Class B recreational facility, tourist facility or theatre licence. (Amended Mar 2024)
- 8.5.7 A location that operates with only a Class A liquor licence does not qualify for an EAs. This restriction does not apply to licensed premises

			Original signed by
DATE ISSUED:	March 27, 2024	AUTHORITY:	Len Rhodes



SUBJECT:

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**EVENT/TEAM AGREEMENTS & SPONSORSHIPS** 

## MANUFACTURER HANDBOOK

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### located within a premises conducting an event mentioned in Subsections 4.6.5 (e.g. lounge within a team's arena). (Amended Mar 2024) 8.5.8 Each proposed agreement must specifically identify the following: all parties participating in the agreement, including the name a) and registration number of the agency or supplier and the name, licence number and class of licensed premises; b) the type of event(s) taking place; the location of the event(s) including identification of the c) licensed area(s); d) the type of liquor licence in effect during the event(s); e) the commencement and termination dates of the proposed agreement; f) the date(s) of the event(s); the specific dollar value being paid, the type and value of g) services offered and a list of all benefits that the agency/supplier will receive; and (Amended Mar 2024) the brand and type of liquor products in the agreement. (Added Mar h) 2024) 8.5.9 The agency or supplier must submit an Event/Team Agreement Acknowledgement and Undertaking form to AGLC prior to the start date of the agreement. (See Subsection 8.5.22) (Amended Mar 2024) 8.5.10 EAs shall be provided to AGLC upon request (Amended Mar 2024) 8.5.11 (Deleted Mar 2024) **SPONSORSHIPS** 8.5.12 Sponsorship may only involve a publicly advertised contest (see Subsection 4.6.14) or the donation of cash or non-liquor merchandise, a trophy and/or a prize by a licensee, agency or supplier, directly to an event or team. Donations to licensees are not permitted. (Amended Mar 2024) 8.5.13 (Deleted Mar 2024) 8.5.14 Sponsorship or co-sponsorship of a publicly advertised contest is allowed with the following restrictions:

Original signed by
DATE ISSUED: March 27, 2024 AUTHORITY: Len Rhodes

SECTION:	ADVERTISING



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# MANUFACTURER HANDBOOK

SUBJECT: EVENT/TEAM AGREEMENTS & SPONSORSHIPS			
	a)	participation in a contest or raffle must not be conditional on the purchase or consumption of liquor;	
	b)	a contest sponsored by a liquor supplier must be directed only towards persons of legal drinking age; and	
	c)	if the contest is conducted on licensed premises, the licensee must also comply with Subsection 5.7.1	
8.5.15	-	ncies and suppliers may not provide free liquor or exclusively vide liquor products under a sponsorship. (Amended Mar 2024)	
8.5.16	(Delete	rd Mar 2024)	
8.5.17	(Delete	rd Mar 2024)	
8.5.18	(Delete	rd Mar 2024)	
8.5.19	(Delete	rd Mar 2024)	
8.5.20	(Deleted Dec 2020)		
8.5.21	(Delete	ad Mar 2024)	
GUIDELIN	NES		
8.5.22		Acknowledgement and Undertaking forms, located at <u>aglc.ca</u> , may ubmitted to AGLC at <u>inspections.mailbox@aglc.ca</u> . (Amended Mar 2024)	
8.5.23	Items displaying a corporate or brand logo may be donated to a registered charity for use as give-aways and raffle prizes.		

Original signed by DATE ISSUED: March 27, 2024 AUTHORITY: Len Rhodes



NUMBER: 8.6

## MANUFACTURER HANDBOOK

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## SUBJECT: TRADE SHOWS

# POLICIES

- 8.6.1 Participation in a trade show may be undertaken with a display booth. The following conditions apply: (Amended Feb 2021)
  - a) Participation in a trade show may be advertised. (Amended Feb 2021)
  - b) The display booth may advertise liquor and related products and staff may wear promotional clothing. Promotional and educational materials may be given away.
  - c) Tastings may be sold or provided as long as the conditions specified in Sections 9.5.1 and 9.5.2 are followed. (Amended Feb 2021)
  - d) (Deleted Feb 2021)

### **GUIDELINES**

- 8.6.2 A licensee participating in a trade show must also comply with the trade show operator's requirements for display booths and liquor tastings.
- 8.6.3 See Section 3.5.4 regarding the sale of products made by a manufacturer for off-premises consumption. (Added June 2023)

			Original signed by
DATE ISSUED:	June 29, 2023	AUTHORITY:	Len Rhodes



NUMBER:

8.7

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# MANUFACTURER HANDBOOK

#### SUBJECT: **MARKET RESEARCH**

(Deleted Dec 2020)

For information regarding market research, please see Section 4.8 of the Liquor Agency Handbook.

			Original signed by
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SECTION: **ADVERTISING** 

NUMBER: 8.8

#### MANUFACTURER HANDBOOK

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#### SUBJECT: HOSTING A NO SALES FUNCTION

- 8.8.1 A no sale function may be hosted by liquor agencies or licensees at which invited guests may sample liquor.
- Attendance at a no sale function must be by invitation only. There 8.8.2 may be no advertising of the event.
- If the event is to be held in an unlicensed location, the host must 8.8.3 obtain a special event licence beforehand.

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NUMBER: 9.1

#### MANUFACTURER HANDBOOK

#### SUBJECT: GENERAL INFORMATION

#### POLICIES

- 9.1.1 For all of Section 9:
  - a) "product promotion" means activities within licensed premises designed to encourage the sale of specific brand(s) of liquor;
  - b) "liquor agency" includes all associated shareholders, directors, management, agents, and employees of liquor manufacturer/agencies and suppliers; and
  - c) "records" are electronic or paper documents that summarize a transaction and include the documents to support these transactions. These documents may include, but are not limited to, financial statements, invoices, receipts, vouchers, contracts, cancelled cheques, credit card receipts, and emails.
- 9.1.2 Only those businesses and/or persons with a Class E manufacturer's licence or who are registered as a liquor agency with AGLC may conduct marketing, merchandising, and promotional activities of liquor in Alberta
- 9.1.3 A product promotion must not encourage the irresponsible use, consumption or service of liquor.
- 9.1.4 A licensee may participate in a liquor manufacturer's/agency's local, regional, provincial or national corporate or brand promotion, with the following conditions:
  - a) Product promotions must be directed to consumers or patrons of a licensed premises, with the exception of tasting (see Section 9.5) and sampling (see Section 9.6).
  - b) The promotion must take place in licensed premises.
- 9.1.5 (Deleted Nov 2022)
- 9.1.6 A product promotion may be co-sponsored by a third party.
- 9.1.7 As per Section 85 of the GLCR, product promotions not specifically addressed in Section 9.4 require the prior approval of AGLC. (Amended Jul 2022)

#### DATE ISSUED:

November 29, 2022



NUMBER: 9.2

## MANUFACTURER HANDBOOK

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#### SUBJECT: PROHIBITED INDUCEMENTS AND BENEFITS

# POLICIES

- 9.2.1 A liquor manufacturer/agency is prohibited from directing any promotional activity or items to a licensee that could directly benefit the licensee or their staff, unless the manufacturer and licensee are the same entity pursuant to Section 84 of the GLCR, and a licensee may not request or accept any such inducements.
- 9.2.2 A liquor manufacturer/agency is prohibited from participating in any way in a licensee's customer loyalty program, and a licensee may not request that a liquor manufacturer/agency participate in such a program.

#### 9.2.3 (Deleted Jul 2022)

- 9.2.4 To increase awareness, knowledge or information of a liquor agency's products or brand of products, an agency may pay the following education-related expenses for a licensee.
  - a) direct-route transportation, meals and accommodation to a winery, brewery or distillery or any other place the agency owns, represents or has an interest;
  - b) registration fees for conferences, seminars, workshops related to an agency's products; and
  - c) dinners/events designed to increase awareness of the liquor agency's products. (Amended Jul 2022)
  - (Amenueu Jul 2022)
- 9.2.5 A liquor agency is prohibited from paying for a licensee's activities that are recreational or leisurely in nature. (Amended Jul 2022)
- 9.2.6 A liquor manufacturer/agency may not offer or provide to a licensee:
  - a) cash, rebates, coupons or credits of any monetary value;
  - b) a deposit into any account held by the licensee, directly or indirectly;
  - c) free liquor products, other than for sampling purposes as specified in Section 9.6; or
  - d) compensation for expenses related to:
    - i) interior decorating (e.g., painting, draperies, carpeting, decor), renovations or maintenance to a licensed

			Original signed by
DATE ISSUED:	July 28, 2022	AUTHORITY:	Len Rhodes



NUMBER: 9.2

## MANUFACTURER HANDBOOK

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SUBJECT	PROHIE	BITED INDUCEMENTS AND BENEFITS	
		premises, or any other property owned, rented or leased by a licensee or anyone directly or indirectly involved with the licensee;	
	ii)	furniture, kitchen and bar equipment, or fixtures; (Amended Jul 2022)	
	iii)	refrigeration or dispensing equipment (exceptions for tap handles noted in Subsection 9.2.12); or (Amended De 2020)	
	iv)	(Deleted Jul 2022)	
	v)	other items considered essential to operating a licensed premises, including building infrastructure and utilities. (Amended Jul 2022)	
9.2.6	the man manufact the licens	manufacturer/agency may provide interior signs displaying ufacturer's/agency's brand to licensees. However, a urer/agency is not permitted to provide signs that display ee's business name or signs necessary for the operation of ess (e.g. entry/exit signs and bathroom signs).	
9.2.8	•	nanufacturer/agency may offer a licensee tickets to sporting, r entertainment events, with the following conditions:	
	a) ticke and	ets must be for events not normally paid for by the licensee;	
	b) (Delete	ed Jul 2022)	
	c) no s	eason tickets may be provided.	
9.2.9	premises.	agency may pay for live entertainment at a licensee's The agency shall pay for the entertainment directly, not he licensee. (Added Jul 2022)	
9.2.10	(Deleted Dec 20	020)	
9.2.10	(Deleted Dec 2020)		
9.2.11	loaned to the type o Loan agre aforemen	ry owned branded dispensing units and towers may be a licensee. A loan agreement must be in place and specify of equipment being loaned and the term of the agreement. eements can take any form as long as they contain the ationed information. The loan agreement must be provided on request. A Promotional Agreement is not to be used as a ement.	
		Original signed by	

DATE ISSUED:

July 28, 2022

AUTHORITY:



NUMBER: 9.2

# MANUFACTURER HANDBOOK

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SUBJECT	PROHIBITED INDUCEMENTS AND BENEFITS
9.2.12	A liquor manufacturer/agency may provide a licensee with non- essential items, including:
	<ul> <li>aprons or hats for staff</li> <li>bar towels</li> <li>bottle openers and</li> <li>corkscrews</li> <li>lapel pins</li> <li>interior branded signs</li> <li>interior branded signs</li> <li>interior branded signs</li> <li>menu printing (Added Jul 2022)</li> <li>(must not contain or</li> <li>display the licensee's</li> <li>business name)</li> <li>clocks</li> <li>coasters</li> <li>condiment caddies</li> <li>draught tap handles</li> <li>drip mats</li> <li>flags, pennants and</li> <li>fruit slicers</li> <li>glasses and mugs</li> <li>ice buckets</li> <li>inflatables</li> <li>display the licensee's</li> <li>napkins</li> <li>patio umbrellas</li> <li>place mats</li> <li>posters</li> <li>sandwich boards</li> <li>sanitation stations (Added Jul 2022)</li> <li>tent cards and inserts</li> </ul>
9.2.13	The following conditions apply to the non-essential items listed in Subsection 9.2.12:
	a) branding and co-branding of these items is permitted;
	<ul> <li>b) if liquor pricing is stated on any of these items, the prices must match those on the menu or the posted price and must be determined by the licensee; and</li> </ul>
	c) they cannot be sold to customers.
GUIDELIN	NES
9.2.15	Liquor manufacturers/agencies and licensees may contact AGLC (see Section 1.3), if unsure whether an item is considered essential or non- essential.
	Original signed by

July 28, 2022



NUMBER: 9.3

## MANUFACTURER HANDBOOK

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## SUBJECT: PROMOTIONAL AGREEMENTS

## POLICIES

- 9.3.1 A liquor manufacturer/agency may provide a licensee with promotional items for its customers in exchange for the licensee promoting a specific brand(s) of liquor. Manufacturers/agencies and licensees must keep sufficient records of these activities in order to demonstrate compliance with legislation, regulation and policies. Records are not required for non-liquor items provided that are of an individual value of less than \$5.00 (e.g. bottle openers, corkscrews) and added value items for existing inventory. (Amended Jul 2022)
- 9.3.2 Records must be kept by the manufacturer/agency and by the licensee on the licensed premises for a minimum of two years and be provided to AGLC upon request. The records include:
  - a) name of manufacturer/agency;
  - b) name of licensee;
  - c) duration of promotional agreement;
  - d) list of promotional items, the value of each item and/or services provided and their retail value;
  - e) the terms of the agreement, specifying product brands and quantities; and
  - f) name, address and phone number of every person who receives a give-away item with a value of more than \$100.
     (Amended Jul 2022)
- 9.3.3 Cash, cheques, gift cards or prepaid credit cards are prohibited as promotional items. (Amended Jul 2022)
- 9.3.4 Promotional Agreements cannot result in excluding or prohibiting any competitor's product(s). (Amended Jul 2022)
- 9.3.5 (Deleted Jul 2022)
- 9.3.6 A Promotional Agreement that complies with these policies does not require AGLC approval.
- 9.3.7 (Deleted Jul 2022)

#### **GUIDELINES**

9.3.8 (Deleted Jul 2022)

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NUMBER: 9.4

### MANUFACTURER HANDBOOK

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#### SUBJECT: LICENSEE PROMOTIONS

#### POLICIES

- 9.4.1 A licensee may conduct promotional activities in the licensed premises with the following conditions:
  - a) Free liquor may not be awarded as a prize in a Class A licensed premises.
  - b) A sealed bottle of liquor may be awarded as a prize on a Class D licensed premises, for off premises consumption.
  - c) The purchase or consumption of liquor cannot be required in order to participate in a competition, contest, draw, giveaway or similar promotion, and participants must be told that there is no such requirement.
  - d) Participants may be required to be present at the time of a draw in order to receive a prize. However, the time, date and place of the draw must be clearly displayed on the premises.
- 9.4.2 A liquor manufacturer/agency may donate liquor or merchandise and/or conduct free tastings for a bona fide charitable fundraising event or activity on a licensed premises, with the following conditions:
  - a) the liquor manufacturer/agency and licensee must keep sufficient records of each event (see Section 9.3.2);
  - b) all liquor purchased must be from a Class D licensee or otherwise approved by AGLC; and
  - C) (Deleted Jul 2022)
  - d) all profits from the event or activity must be turned over to the charity;
  - e) (Deleted Dec 2020)

#### **GUIDELINES**

9.4.3 There is no limit to the quantity of merchandise and/or liquor that may be donated in support of charitable fundraising.



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9.5

## MANUFACTURER HANDBOOK

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#### SUBJECT: LIQUOR TASTINGS

- 9.5.1 A liquor tasting is an activity that allows patrons the opportunity to taste featured liquor products. Liquor tastings may be conducted on a Class A, B, C, D or E licensed premises under the following conditions:
  - a) minors are not provided liquor;
  - no one is served the product to the point of intoxication; b)
  - the server has valid ProServe certification; and c)
  - d) tasting records are provided to AGLC on request. (Added Mar 2018)
- 9.5.2 Maximum tasting sizes are as follows:
  - beer and ciders 112 ml (4 oz.); (Added Mar 2018) a)
  - refreshment beverages (e.g. coolers/premixed) 112 ml (4 oz.); b) (Amended Mar 2018)
  - wine 56 ml (2 oz); (Amended Mar 2018) c)
  - d) spirits - 28 ml (1 oz); and (Amended Dec 2020)
  - liqueurs 28 ml (1 oz). (Amended Dec 2020) e)
- 9.5.3 Liquor agencies are not licensed to sell liquor and as a result may not charge a fee to customers for liquor tastings. This includes tastings conducted by a Class D licensee on behalf of an agency. (Added Dec 2018)
- 9.5.4 A liquor manufacturer/agency may provide free individual tastings of liquor to patrons on a Class A, B, C, D or E licensed premises with the following conditions:
  - the liquor used for the tastings: a)
    - must be purchased from the licensee, at the licensee's cost i) of the product, or
    - ii) may be an unfinished product from a previous tasting(s) at another location(s), if the licensee permits the agency to use the product; (Added Mar 2018)
  - the liquor manufacturer/agency or its employee must be b) present on the licensed premises;

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9.5

#### MANUFACTURER HANDBOOK

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#### SUBJECT: LIQUOR TASTINGS

- the booth or area from which the tastings are offered must be c) staffed;
- d) the tastings may be served by the liquor manufacturer's/agency's employee or the licensee;
- the liquor manufacturer/agency must maintain a written e) record of the tasting including:
  - i) the date, time and location; and
  - the liquor product(s) along with the quantity and cost. ii) (Added Mar 2018)
- 9.5.5 (Deleted Dec. 2020)
- 9.5.6 (Deleted Dec. 2020
- 9.5.7 (Deleted Dec. 2020)
- 9.5.8 (Deleted Oct. 2020)

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NUMBER: 9.6

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## SUBJECT: PRODUCT SAMPLING FOR LICENSEES

(Deleted May 2021)

For information regarding product sampling for licensees, please see Section 5.6 of the Liquor Agency Handbook.

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LIQUOR MANUFACTURER HANDBOOK

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# SUBJECT: PRODUCT SAMPLING FOR PUBLIC

(Deleted May 2021)

For information regarding product sampling for the public, please see Section 5.7 of the Liquor Agency Handbook.

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NUMBER: 9.8

#### MANUFACTURER HANDBOOK

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#### SUBJECT: **GENERAL PRODUCT PROMOTIONS**

#### POLICIES

- 9.8.1 A product promotion may involve a contest, competition or draw, and may be administered by a licensee on behalf of a liquor manufacturer/agency with the following conditions:
  - all patrons who enter a contest, competition or draw must be a) eligible under the conditions set by the liauor manufacturer/agency. Minors are not eligible;
  - participation cannot be conditional on the purchase or b) consumption of liquor;
  - all promotional items provided to a licensee by a liquor c) manufacturer/agency must be used only for the specified contest, competition or draw;
  - d) the closing date of a contest, competition or draw must be posted in the licensed premises; and
  - all draws must take place in the licensed premises on the date e) and time advertised (except draws conducted by the liquor manufacturer/agency).
- 9.8.2 Both the liquor manufacturer/agency and the licensee providing promotional give-away items must ensure the items reach patrons as intended and must meet the following conditions:
  - a) both the liquor manufacturer/agency and the licensee must maintain sufficient written records for two years of every promotional activity in a licensed premises involving a give-away item with an individual wholesale value of more than \$100. The records must include the name of the licensed premises, a description of the give-away item and its wholesale value. The records must be provided to AGLC on request;
  - b) a licensee conducting a promotion on behalf of a liquor manufacturer/agency must keep sufficient written records for two years of the name, address and telephone number of every person who receives a give-away item with a wholesale value of more than \$100. The records must be provided to AGLC on request; and

c) (Deleted Jul 2022)

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NUMBER: 9.8

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#### SUBJECT: GENERAL PRODUCT PROMOTIONS

9.8.3 A liquor manufacturer/agency may provide a licensee with clothing items with corporate or brand logos for staff to wear during a promotion (e.g., t-shirts, aprons, sweatshirts). The clothing items:

- a) must have a maximum \$100 wholesale cost per item); (Amended Jul 2022)
- b) cannot become a mandatory "uniform"; and
- c) may be kept by the licensee when the promotion ends.
- 9.8.4 A licensee may reduce the price of one or more products as part of a promotion, as long as the price complies with the minimum prices specified in Section 7.2.
- 9.8.5 (Deleted Dec 2020)

## GUIDELINES

- 9.8.6 There is no limit to prize value.
- 9.8.7 The liquor manufacturer/agency is not required to be present during these types of product promotions.
- 9.8.8 (Deleted Dec 2020)

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9.9

# MANUFACTURER HANDBOOK

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#### SUBJECT: ADDED-VALUE PROMOTIONS

(Deleted Dec 2020)

Please see the Retail Liquor Store Handbook at aglc.ca for policies regarding Added-Value Promotions.

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NUMBER: 9.10

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## SUBJECT: ADDED-VALUE LIQUOR ("ON-PACKS")

- 9.10.1 Provincial markup is assessed on all added value liquor products (on-packs).
- 9.10.2 A liquor manufacturer/agency representative may attach added value liquor products in any quantity at a Class D licensed premises. The liquor licensee may only attach the on-packs by arrangement with the liquor manufacturer/agency.
- 9.10.3 All Canadian labelling requirements must be met on the added-value liquor product.
- 9.10.4 All added value liquor products must be labelled with either of the following phrases: "Sample" or "Not For Resale."
- 9.10.5 The liquor manufacturer/agency shall ensure added value liquor products are listed at a wholesale price equal to or greater than the price of the base sales unit.
- 9.10.6 If the added value liquor product is attached by the liquor manufacturer/agency prior to shipment to AGLC, or the liquor manufacturer/agency contracts an AGLC Authorized Warehouse to attach the added value liquor products, then a listing application must be submitted in order to assign the added value liquor product (i.e., the 750 ml plus the 50 ml) a separate Product Code. This ensures the added value liquor product is included in the pricing calculation and, therefore, markup is collected. Note: Please refer to the "Product Promotions in Licensed Premises Policy Guidelines" (see Section 9.9) for further details regarding added value liquor products. (Amended Dec 2019)
- 9.10.7 If the added value liquor product is attached by the liquor manufacturer/agency prior to shipment to AGLC, or the liquor manufacturer/ agency contracts an AGLC Authorized Warehouse to attach the added value liquor products, there must be one (1) added value liquor product attached to every base unit in each case. (Amended Dec 2019)
- 9.10.8 If the added value liquor product is a registered product and there is inventory on hand, the liquor manufacturer/agency may order the product and do its own on-packing. When the order is picked up, the wholesale price is paid, therefore, markup is collected.

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NUMBER:

9.11

## MANUFACTURER HANDBOOK

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#### SUBJECT: SAMPLING LICENSEES

- 9.11.1 Liquor products purchased at wholesale prices by liquor manufacturers/agencies must only be used as follows:
  - The product is for the personal use of licensees or their staff; a)
  - The product is donated to a special event licensee for a private b) non-sale or resale function approved by AGLC;
  - The product cannot be sold to patrons in any licensed c) premises; and
  - d) The product cannot be shipped out of Alberta.
- 9.11.2 Liquor manufacturers/agencies that purchase liquor at wholesale prices are prohibited from selling the liquor under any circumstances.
- 9.11.3 Each liquor manufacturer/agency wanting to purchase liquor at wholesale prices will be given an Agency Registration Number, which must be used when placing an order. When placing an order the Product Code and case quantity are required.
- 9.11.4 Payment for orders from an AGLC Authorized Warehouse must be made before the product is picked up. Payment options are as follows: (Amended Dec 2019)
  - a) certified cheque;
  - bank draft; b)
  - c) bank money order; or
  - d) an AGLC approved electronic payment option [e.g. electronic bank transfer or IVR (Interactive Voice Response)]. For details about electronic methods of payment, see: liquor-licences or contact AGLC at: 780-447-7554.
- 9.11.5 AGLC will not accept returns of product.
- 9.11.6 All liquor manufacturers/agencies are required to keep detailed records of each bottle or container or product purchased from AGLC as follows:
  - name of product purchased; a)
  - b) product code;

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## MANUFACTURER HANDBOOK

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### SUBJECT: SAMPLING LICENSEES

- c) quantity purchased;
- name of liquor manufacturer/agency and employee sampling d) the product;
- e) name and address of licensee (that is, licensed premises and employee or staff) receiving product, date of receipt, and quantity of product provided as a sample on this date; and
- f) amount of the product provided to the licensee in the past.
- 9.11.7 Information for each bottle or container purchased must be made available upon request from AGLC.

#### **CENTRAL AGLC AUTHORIZED WAREHOUSE**

- 9.11.8 Ordering procedures are as follows:
  - All orders must be placed through the CLS order desk via a) liquorconnect.com or call the CLS order desk at: 1-800-661-8943 (Edmonton and area 780-458-4500) between 6:00 a.m. and 2:30 p.m. Monday through Friday; and between 8:00 a.m. to 2:30 p.m. Sunday; or fax: 1-800-727-8960/ 780-458-4502.
  - Orders may be picked up from CLS at the St. Albert Warehouse b) two (2) working days following the placement of the order (between 1:00 p.m. and 3:00 p.m. Tuesday through Thursday; and between 10:00 a.m. and 2:00 p.m. Friday).
  - The liquor manufacturer/agency must contact the Liquor c) Payment Collection Office at: liquor.payment@aglc.ca to finalize the details for payment.

#### **GUIDELINES**

9.11.9 Liquor manufacturers/agencies may buy product to sample from retail liquor stores, general off-sales or general merchandise liquor stores at a price that is equivalent to the licensee's cost.



NUMBER:

10.1

## MANUFACTURER HANDBOOK

## PAGE 1 OF 2

#### SUBJECT: GENERAL INFORMATION

#### POLICIES

- 10.1.1 (Deleted Dec. 2020)
- 10.1.2 (Deleted Dec. 2020)
- 10.1.3 (Deleted Dec. 2020)
- 10.1.4 When an AGLC Inspector finds a licensee in contravention of the GLCA, the GLCR or Board policy, the Inspector may request the licensee take appropriate steps to comply with the legislation or policy.
- 10.1.5 Any alleged violation observed by an AGLC Inspector, or a report of potential problems received from police, fire, health, other government official or the general public, will be investigated and may result in an Incident Report.

#### **GUIDELINES**

- 10.1.6 AGLC Inspectors visit licensed premises to:
  - check for compliance with the GLCA, GLCR and Board policies; a)
  - b) confirm no structural changes have been made to the premises affecting compliance with the licence;
  - advise licensees that want to apply for a new class of licence, a c) licence endorsement or a licence extension;
  - investigate complaints; d)
  - conduct audits on licensee books and records; e)
  - conduct training seminars; and f)
  - respond to licensee concerns about the operation of the g) licensed premises.
- 10.1.7 Inspections provide licensees with an opportunity to discuss with AGLC Inspectors:
  - a) proposed structural changes;
  - sale of the licensed premises; b)
  - c) change in shareholders or management; and/or
  - d) any questions they might have about the GLCA the GLCR and Board policies.

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SECTION: INSPECTIONS

NUMBER:

10.1

#### MANUFACTURER HANDBOOK

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#### SUBJECT: GENERAL INFORMATION

- 10.1.8 All AGLC Inspectors carry photo identification while on duty. A licensee may ask to see an Inspector's identification before providing any information.
- 10.1.9 AGLC Inspectors are available to meet with licensees to assist them in the interpretation of legislation and Board policies; however, Inspectors may report any violations they observe to the Board.
- AGLC supports and encourages police "walk through" programs. 10.1.10 Every police officer is considered an Inspector under the GLCA, and licensees and their staff are required to cooperate fully with police officers who enter the licensed premises.

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**AUTHORITY:** 

Original signed by Len Rhodes



10.2

### MANUFACTURER HANDBOOK

# PAGE 1 OF 1

# SUBJECT: LIQUOR SEIZURE AND ANALYSIS

# POLICIES

- 10.2.1 Unauthorized or illegal liquor is not permitted in a licensed premises.
- 10.2.2 Unauthorized or illegal liquor includes, but is not limited to, liquor that has been:
  - a) obtained from an unauthorized source;
  - adulterated (diluted with water or mixed with another liquor b) product or substance not requested by a patron);
  - re-labelled by a licensee; or c)
  - d) provided to a licensee as an added-value item then offered for sale.
- 10.2.3 (Deleted Nov 2022)
- 10.2.4 An Inspector will submit an Incident Report which may result in disciplinary action, up to and including suspension or cancellation of licence.

# **GUIDELINES**

- 10.2.5 (Deleted Dec 2020)
- 10.2.6 The liquor may be analyzed to confirm it has not been adulterated and that "short pouring" of mixed drinks is not taking place.
- 10.2.7 (Deleted Nov 2022)

Original signed by November 29, 2022 DATE ISSUED: Len Rhodes **AUTHORITY:** 



SECTION: INSPECTIONS

NUMBER: 10.3

MANUFACTURER HANDBOOK

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SUBJECT: AUDIT

(Deleted May 2021)

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SECTION: LICENSEE DISCIPLINE

NUMBER: 11.1

#### MANUFACTURER HANDBOOK

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## SUBJECT: INCIDENT REPORTS

- 11.1.1 An AGLC Inspector who observes an alleged violation may prepare an Incident Report detailing the circumstances. (Amended Dec. 2020)
- 11.1.2 The President & Chief Executive Officer or delegate may propose a penalty or refer the Incident Report to the Board for review and decision where circumstances warrant.
- 11.1.3 On reviewing an Incident Report, the Board may decide to impose a penalty with or without a hearing.

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SECTION: LICENSEE DISCIPLINE

NUMBER:

11.2

MANUFACTURER HANDBOOK

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## SUBJECT: NOTICE OF PENALTY

(Deleted Nov 2019)

Please see the board hearing panel rules and procedures document at <u>aglc.ca</u> for information on notice of penalty.

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SECTION: LICENSEE DISCIPLINE

NUMBER:

11.3

MANUFACTURER HANDBOOK

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## SUBJECT: BOARD HEARINGS

(Deleted Nov 2019)

Please see the board hearing panel rules and procedures document at <u>aglc.ca</u> for information on board hearings.

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